# Lecture 2-13-1 <br> Polynomial systems, computer algebra and applications 

Polynomials, Solution sets, Gröbner bases

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## First informations

## Pedagogical team.

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All slides and companion lecture notes (including exercises) will be available at
https://www-polsys.lip6.fr/~jcf/Teaching/index.html
The course is taught in English upon explicit request.
Research internships / PhD positions available on the web. Contact the teachers asap if you get interested.

## Textbooks

## Undeyradute Tati in Wathervilic

## David A. Cox

John Little
Donal OShea

## Ideals, <br> Varieties, and Algorithms

An Introduction to Computational
Algebraic Geometry and Commutative
Algebra
Fourth Edition


Mathematical background (mainly commutative algebra and some tapas of algebraic geometry) is introduced when needed.

This course is research oriented: it includes new recently published results.

Introduction

## What is a polynomial?

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Provided $\boldsymbol{\alpha}=\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ and variables $x_{1}, \ldots, x_{n}$,

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\boldsymbol{\alpha} \text { encodes } \boldsymbol{x}^{\alpha}=x_{1}^{\alpha_{1}} \cdots x_{n}^{\alpha_{n}} .
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The total degree of $\boldsymbol{\alpha}$ is the sum $\alpha_{1}+\cdots+\alpha_{n}$.
Univariate monomials $(n=1)$ are naturally ordered by their degree and this order is compatible with multiplication

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\left(m_{1} \prec m_{2} \Rightarrow \forall m \in \mathbb{N}^{n}, m \times m_{1} \prec m \times m_{2}\right)
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We will define and use such orders which are called admissible orders.

## What is a polynomial?

Let $\mathbb{K}$ be a field. We consider the $\mathbb{K}$-vector space $E$ generated by all the monomials of $\mathbb{N}^{n}$. This is an infinite dimensional vector space, the set of monomials in $\mathbb{N}^{n}$ is a free basis of $E$.

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A polynomial is an element of this $\mathbb{K}$-vector space $E$. All in all, it is represented as a finite sequence of elements $\left(c_{\alpha_{1}}, \ldots, c_{\boldsymbol{\alpha}_{\ell}}\right)$ of $\mathbb{K}$, indexed by finitely many elements of $\mathbb{N}^{n}$. Mathematically, it is a finite linear combination of monomials over $\mathbb{K}$.

Equipped with variables $x_{1}, \ldots, x_{n} \leadsto c_{\alpha_{1}} x_{1}^{\alpha_{1,1}} \cdots x_{n}^{\alpha_{1, n}}+\cdots+c_{\alpha_{\ell}} x_{1}^{\alpha_{\ell, 1}} \cdots x_{n}^{\alpha_{\ell, n}}$.

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The set of polynomials with variables $x_{1}, \ldots, x_{n}$ with base field $\mathbb{K}$ is denoted by $\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.

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Defining the classical multiplication of polynomials, one equips $\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$ with a ring structure.
Arithmetic size. A polynomial $f \in \mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$ of degree $D$ is encoded with an array of coefficients of length $\binom{n+D}{n}$.

## Polynomial systems in engineering sciences (I)



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Polynomial systems are ubiquitous in robotics, mechanics, and some areas of biology and chemistry.

## Polynomial systems in engineering sciences (II)



A dynamical system of 4 coupled oscillators: maximal number of equilibria?
$\leadsto$ Kuramoto model of 4 oscillators
Maximal number of real solutions of

$$
\left\{\begin{array}{l}
x_{2 i-1}^{2}+x_{2 i}^{2}=1, x_{7}=0, x_{8}=1 \\
\sum_{j=1}^{4}\left(x_{2 i-1} x_{2 j}-x_{2 i} x_{2 j-1}\right)=y_{i}, i=1 \ldots 3
\end{array}\right.
$$

[Xin, Kikkawa, Liu '16] conjectured at most 10 real solutions


Section $y_{1}+y_{2}+2 y_{3}=0$


Zoom in: $\square 2, \square 4, \square 6, \llbracket 8, \square 10$

Definitive answer through polynomial system solvers

## Polynomial systems solving in mathematics

Algebraic methods for polynomial system solving and more generally, computer algebra can be used to prove some mathematical results (or disprove mathematical conjectures).

Theorem. Surfaces of degree 3 always contain lines and conics.
Noether-Lefschetz theorem $\Longrightarrow$ surfaces of degree $\geq 4$ almost never do.
What about some special surfaces of degree 4 ? $\cos (t) f+\sin (t) g=0$



UNIVERSITY OF OREGON

Using the msolve library


TECHNISCHE UNIVERSITÄT

## Polynomial systems in cryptography

Context. A (Alice) wants to send a private message to $B$ (Bob).
Let $\mathbb{K}$ be a finite field and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.
Let $\boldsymbol{f}=\left(f_{1}, \ldots, f_{s}\right) \subset R$ built by $B$. That will be the public key that $B$ shares with $A$.

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To send a message $m \in \mathbb{K} \in \mathbb{K}^{n}$, A picks a matrix $M$ in $R^{n \times s}$ and sends

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c=m+M . \boldsymbol{f} \in R^{n}
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Decoding amounts to substitute variables in $c$ by $\xi$.

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National Institute of Standards and Technology U.S. Department of Commerce

Multivariate cryptography and post-quantum cryptography are hot topics

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Gaussian elimination $\Longrightarrow$ Triangular rewriting $\Longrightarrow$ Solving
Non-linear polynomial systems of equations may have
infinitely many solutions

0 solution

Finitely many solutions

They can have really a lot of solutions

$$
x_{1}^{2}+x_{2}^{2}-1=0
$$

$$
x_{1} x_{2}-1=x_{1}=0
$$

$$
x_{1}^{2}+x_{2}^{2}-1=x_{1}-x_{2}=0
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$$
x_{1}^{2}-2=\cdots=x_{n}^{2}-2=0
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$$

... and their coordinates may lie outside the field generated by the input coefficients.

## What does "solving" mean?

Depends a lot on the application and on the base field $\mathbb{K}$

- $\mathbb{K}=\mathbb{Q} \leadsto$ extract informations on real or complex solutions
- $\mathbb{K}$ is a finite field $\leadsto$ solutions in $\mathbb{K}$ or an algebraic closure of $\mathbb{K}$


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## Algebraic closure - definition

Let $\mathbb{K}$ be a field. An algebraic closure of $\mathbb{K}$, is a field $\overline{\mathbb{K}}$, containing $\mathbb{K}$ s.t. all univariate polynomials of degree $d$ with coefficients in $\overline{\mathbb{K}}$ have $d$ solutions counted with multiplicities.

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Algebraic methods $\rightarrow$ Triangular rewriting $\rightarrow$ "Solving" over $\overline{\mathbb{K}}$

## What does "solving" mean?



## Towards Gröbner bases

Let $\mathbb{K}$ be a field and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.

|  | Linear systems | Polynomial systems |
| :--- | :---: | :---: |
| Equations | $\ell_{1}=\cdots=\ell_{s}=0$ | $f_{1}=\cdots=f_{s}=0$ |
| Algebraic object | $V=\left\{\sum_{i} a_{i} \ell_{i} \mid a_{i} \in \mathbb{K}\right\}$ | Ideal generated by the $f_{i}$ 's <br> $I=\left\{\sum_{i} q_{i} f_{i} \mid q_{i} \in R\right\}$ |
| Algorithm | Gaussian elimination <br> (variable ordering) | "Elimination of <br> monomials" <br> (monomial ordering $\sim$ <br> term rewriting) |
| Output | Triangular basis of $V$ | Gröbner basis of $I$ |

## Gröbner bases and polynomial system solving

Let $\mathbb{K}$ be a field, $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right], \prec$ a monomial ordering and

$$
\boldsymbol{f}=\left(f_{1}, \ldots, f_{s}\right) \subset R .
$$

Provided $\prec$, a Gröbner basis $G$ of the ideal generated by $\boldsymbol{f}$ provides a canonical description of it.

## Emptiness decision

A non-zero constant $a \in \mathbb{K}$ lies in $G$.

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There exists a division algorithm which, given $G, \prec$ and $f \in R$ allows us to decide whether $f$ lies in the ideal generated by $f$.

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- Unique representative of $f$ modulo the ideal (provided $\succ$ )
- Allows to compute "modulo" the equations
$\leadsto$ description of the solutions


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One can choose $\prec$ such that $G$ has the following shape

$$
G=\left\{\begin{array}{c}
T_{n} \subset \mathbb{K}\left[x_{1}, \ldots, x_{n}\right] \\
\vdots \\
T_{2} \subset \mathbb{K}\left[x_{n-1}, x_{n}\right] \\
T_{1} \subset \mathbb{K}\left[x_{n}\right]
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## Shape position

When $s \geq n$, and when the solution set in $\overline{\mathbb{K}}^{n}$ is finite, $G$ has (most of the time) the following so-called shape position

$$
w, x_{2}-v_{2}, \ldots, x_{n}-v_{n} \text { with } w, v_{i} \in \mathbb{K}\left[x_{1}\right]
$$

- Note that, in this case, solutions in $\mathbb{K}^{n}$ can be recovered


## Julia Demo



## Basic notions of algebra and geometry

Algebraic sets and ideals

## Ideals and solution sets

Let $\mathbb{K}$ be a field, $\overline{\mathbb{K}}$ an algebraic closure of $\mathbb{K}$, and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$. Ideal
An ideal $I$ of $R$ is a non-empty subset of $R$ such that for all $f, g$ in $I$ and $h \in R, f+g \in I$ and $h f \in I$.

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Lemma - Definition
Let $S \subset R$. Then

$$
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Remark. Let $\xi \in \overline{\mathbb{K}}^{n}$ such that $f_{i}(\xi)=0$ for $1 \leq i \leq s$.
Then for all $g \in\langle\boldsymbol{f}\rangle, g(\xi)=0$.

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$V$ is the algebraic set associated to $\langle S\rangle$. It is denoted by $V(S)$ or $V(\langle S\rangle)$.

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- Let $I$ and $\mathcal{F}$ be ideals of $R$. It holds that $I \subset \mathcal{F}$ iff $V(\mathcal{F}) \subset V(I)$.
- Let $I$ and $\mathcal{F}$ be ideals of $R$. It holds that $V(I \cap \mathcal{F})=V(I) \cup V(\mathcal{F})$.


## Hilbert's basis theorem

Let $\mathbb{K}$ be a field, $\overline{\mathbb{K}}$ an algebraic closure of $\mathbb{K}$, and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.
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$$
\begin{aligned}
& \text { Let } S=\{m \mid \exists f \in I \text { such that } m=\mathrm{LM} \\
&\prec(f)\} . \\
& \text { Then }\langle S\rangle \text { is finitely generated. }
\end{aligned}
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## Algebraic methods

> Appropriate bases of ideals

## Noetherianity

Let $\mathbb{K}$ be a field, $\overline{\mathbb{K}}$ an algebraic closure of $\mathbb{K}$, and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.

## Ascending chains of ideals

Let $\left(I_{i}\right)_{i \in \mathbb{N}}$ be a sequence of ideals such that

$$
I_{1} \subset I_{2} \subset \cdots \subset I_{i} \subset I_{i+1} \subset \cdots
$$

There exists $k \in \mathbb{N}$ such that for all $\ell \geq k, I_{k}=I_{\ell}$.

## Hilbert's weak Nullstellensatz

Let $\mathbb{K}$ be a field, $\overline{\mathbb{K}}$ an algebraic closure of $\mathbb{K}$, and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$. Hilbert's weak Nullstellensatz
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Ideal membership problem

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- Example. Take $f_{1}=x_{1} x_{2}-1$ and $f_{2}=x_{1}$. Then $1 \in\left\langle f_{1}, f_{2}\right\rangle$

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$$

- Warning: applies to $\overline{\mathbb{K}}$ only.

Example. Take $\mathbb{K}=\mathbb{R}$ and $I=\left\langle x_{1}^{2}+x_{2}^{2}+1\right\rangle$.

## Ideals associated to sets, Hilbert's Nullstellensatz

Let $\mathbb{K}$ be a field, $\overline{\mathbb{K}}$ an algebraic closure of $\mathbb{K}$, and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.

## Definition - Lemma

Let $E \subset \overline{\mathbb{K}}^{n}$. Consider the subset $S$ of $R$ associated to $E$ defined as

$$
S=\{f \in R \mid \forall \xi \in E, \quad f(\xi)=0\} .
$$

It holds that $S$ is an ideal, which is called ideal associated to $E$ and denoted by $\operatorname{Id}(E)$.

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- Exercise. Prove that $\operatorname{Id}(\mathbb{R})=\langle 0\rangle$.


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We observed that for $I=\left\langle x_{1}^{2}\right\rangle$, it holds that

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Let $f \in R$ and $I \subset R$ be an ideal. If $f \in \operatorname{Id}(V(I))$, then there exists $k \in \mathbb{N}$ such that $f^{k} \in I$.

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Let $f \in R$ and $I \subset R$ be an ideal. If $f \in \operatorname{Id}(V(I))$, then there exists $k \in \mathbb{N}$ such that $f^{k} \in I$.

## Definition/Lemma - radical ideal

Let $I \subset R$ be an ideal. The set

$$
\left\{f \in R \mid \exists k \in \mathbb{N} \text { such that } f^{k} \in I\right\}
$$

is an ideal. It is called the radical of $I$ and denoted by $\sqrt{I}$.

## Ideal - Variety correspondence

Let $\mathbb{K}$ be a field, $\overline{\mathbb{K}}$ an algebraic closure of $\mathbb{K}$, and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.

- Let $I \subset R$ be an ideal. It holds that

$$
\sqrt{I}=\operatorname{ld}(V(I)) .
$$

- Let $V \subset \overline{\mathbb{K}}^{n}$ be an algebraic set. It holds that

$$
V(\operatorname{ld}(V))=V
$$

## Zariski topology

This slide anticipates our future study of the complexity of Gröbner bases computations (under so-called regularity assumption).

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Let $\mathbb{K}$ be a field, $\overline{\mathbb{K}}$ an algebraic closure of $\mathbb{K}$ and $n \in \mathbb{N}-\{0\}$
One can equip $\overline{\mathbb{K}}^{n}$ with a so-called Zariski topology, where the class of closed sets is the class of algebraic sets in $\overline{\mathbb{K}}^{n}$.
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- The Zariski closure of a subset $W$ in $\overline{\mathbb{K}}^{n}$ is the smallest (for the partial order induced by inclusion) algebraic set which contains $W$.
- The Zariski topology is less fine that the Euclidean topology. Example. The open (for the Euclidean topology) disk centered at 0 of radius 1 in $\mathbb{C}$ is not an open set for the Zariski topology. Its Zariski closure is $\mathbb{C}$.


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One can equip $\overline{\mathbb{K}}^{n}$ with a so-called Zariski topology, where the class of closed sets is the class of algebraic sets in $\overline{\mathbb{K}}^{n}$.
By definition, the open sets, in this topology are complements of algebraic sets.

- The set of polynomials of degree $\leq D$ with coefficients in $\overline{\mathbb{K}}$ is a finite dimensional vector space. It is isomorphic to $\overline{\mathbb{K}}^{N}$ with $N=\binom{n+D}{D}$. A property $\mathscr{P}$ on polynomials is generic iff there exists a non-empty Zariski open subset $U \subset \overline{\mathbb{K}}^{N}$ such that $\mathscr{P}$ holds for any $f \in U$.


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Let $V \subset \overline{\mathbb{K}}^{n}$ be an algebraic set. The dimension of $V$ is the maximum integer $d$ such that there exists $\iota=\left\{i_{1}, \ldots, i_{d}\right\} \subset\{1, \ldots, n\}$ such that $\pi_{\iota}(V)$ contains a non-empty Zariski open subset of $\overline{\mathbb{K}}^{d}$.

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Example. $x_{1}^{2}+x_{2}^{2}=0$
Other example.

$$
\left(x_{1}^{2}+x_{2}^{2}\right)\left(x_{1}-1\right)=\left(x_{1}^{2}+x_{2}^{2}\right)\left(x_{2}-1\right)=0
$$

## Geometric "complexity measures"

Let $V \subset \overline{\mathbb{K}}^{n}$ be a non-empty algebraic set of dimension $d$.

## Lemma

For a generic choice of a $(n-d)$-dimensional affine linear subspace $\mathscr{L}_{n-d}$, $V \cap \mathscr{L}_{n-d}$ has dimension 0 .

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## Lemma - Definition

There exists $\delta \in \mathbb{N}$ such that, for a generic choice of a $(n-d)$-dimensional affine linear subspace $\mathscr{L}_{n-d}, V \cap \mathscr{L}_{n-d}$ has dimension 0 and cardinality $\delta$. We call $\delta$ the degree of $V$ and we denote it by $\operatorname{deg}(V)$.

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- By convention, the degree of the empty set is 0 .


## Bézout's theorem

## Bézout theorem

Let $V$ and $W$ be two algebraic sets in $\overline{\mathbb{K}}^{n}$. Then

$$
\operatorname{deg}(V \cap W) \leq \operatorname{deg}(V) \operatorname{deg}(W)
$$

## Gröbner bases

Definitions and first properties

## Reminder of motivation

Ideal membership problem
Hilbert's weak Nullstellensatz.
Rewriting input polynomial systems

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Hilbert's weak Nullstellensatz.

Rewriting input polynomial systems

$$
\begin{aligned}
& \text { Picking } x_{1} \succ x_{2} \\
& f_{1}=x_{1}+x_{2}-1 \\
& f_{2}=x_{1}-x_{2}+1 \\
& f_{1}-f_{2}=2 x_{2}-2
\end{aligned}
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\hline f_{1}-f_{2}=2 x_{2}-2 & g=f_{1}-f_{2}=2 x_{2}^{2}-2 \\
& \begin{array}{l}
f_{1}-\frac{1}{2} g=x_{1}^{2}
\end{array}
\end{array}
$$

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$$
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$$

$$
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$$

What about?
$f_{1}=x_{1}^{2}+x_{2}^{2}+x_{1} x_{2}-1$
$f_{2}=x_{1}^{2}-x_{2}^{2}-2 x_{1} x_{2}+1$

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We need more ingredients

## Monomial orderings

## Admissible monomial orderings

Let $\prec$ be a total order over $\mathbb{N}^{n}$. We say that $\prec$ is an admissible monomial ordering if the following holds:

- $\mathbf{0} \preceq \boldsymbol{\alpha}$ for all $\boldsymbol{\alpha} \in \mathbb{N}^{n} ;$
- if $\boldsymbol{\alpha} \prec \boldsymbol{\beta}$ then for any $\gamma \in \mathbb{N}^{n}$ it holds that $\boldsymbol{\alpha}+\boldsymbol{\gamma} \prec \boldsymbol{\beta}+\boldsymbol{\gamma}$
$\prec$ is compatible with multiplication
- there is no infinitely decreasing sequence $\left(\boldsymbol{\alpha}_{i}\right)_{i \in \mathbb{N}}$

There are many different ways to define admissible monomial orderings, which may have additional properties.

## Some examples (I)

Lexicographical monomial ordering $\boldsymbol{\alpha}=\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ and $\boldsymbol{\beta}=\left(\beta_{1}, \ldots, \beta_{n}\right)$ in $\mathbb{N}^{n}$.
$\boldsymbol{\alpha} \prec_{\text {lex }} \boldsymbol{\beta} \Longleftrightarrow \exists i$ such that $\left\{\begin{array}{l}\alpha_{j}=\beta_{j} \text { for } j<i \\ \alpha_{i}<\beta_{i}\end{array}\right.$

## Some examples (I)

$$
\begin{aligned}
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\alpha_{i}<\beta_{i}
\end{array}\right.
\end{aligned}
$$

This ordering eliminates variables at first. It compares first the exponent of $x_{1}$, in case of equality it compares the exponent of $x_{2}$, and so on.

## Examples

- $x_{3}^{10} \prec_{\text {lex }} x_{2}^{3} \prec_{\text {lex }} x_{1}$
- $1 \prec_{\text {lex }} x_{2} \prec_{\text {lex }} x_{2}^{2} \prec_{\text {lex }} x_{2}^{1000} \prec_{\text {lex }} x_{1} \prec_{\text {lex }} x_{1} x_{2} \prec_{\text {lex }} x_{1}^{2}$


## Some examples (II)

Graded lexicographical monomial ordering
Let $\boldsymbol{\alpha}=\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ and $\boldsymbol{\beta}=\left(\beta_{1}, \ldots, \beta_{n}\right)$ in $\mathbb{N}^{n}$.

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\boldsymbol{\alpha} \prec_{\text {grlex }} \boldsymbol{\beta} \Longleftrightarrow \sum_{i=1}^{n} \alpha_{i}<\sum_{i=1}^{n} \beta_{i} \text { or }\left\{\begin{array}{l}
\sum_{i=1}^{n} \alpha_{i}=\sum_{i=1}^{n} \beta_{i} \\
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This ordering first filters monomials w.r.t. their degrees and next applies the lexicographical ordering.

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This ordering first filters monomials w.r.t. their degrees and next applies the lexicographical ordering.

Feature. All monomials are preceded by a finite number of other monomials.

- $1 \prec_{\text {grlex }} x_{3} \prec_{\text {grlex }} x_{2} \prec_{\text {grlex }} x_{1} \prec_{\text {grlex }} x_{3}^{2} \prec_{\text {grlex }} x_{2} x_{3} \prec_{\text {grlex }} x_{2}^{2} \prec_{\text {grlex }}$ $x_{1} x_{3} \prec_{\text {grlex }} x_{1} x_{2} \prec_{\text {grlex }} x_{1}^{2}$


## Some examples (III)

Graded reverse lexicographical monomial ordering
Let $\boldsymbol{\alpha}=\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ and $\boldsymbol{\beta}=\left(\beta_{1}, \ldots, \beta_{n}\right)$ in $\mathbb{N}^{n}$.
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## Some examples (II)

## Block orderings

Let $\prec_{1}$ and $\prec_{2}$ be two admissible monomial orderings over $\mathbb{N}^{i}$ and $\mathbb{N}^{j}$ with $n=i+j$.
Let $\boldsymbol{\alpha}=(\underbrace{\alpha_{1}, \ldots, \alpha_{i}}_{\boldsymbol{\alpha}_{1}}, \underbrace{\alpha_{i+1}, \ldots, \alpha_{n}}_{\boldsymbol{\alpha}_{2}})$ in $\mathbb{N}^{n}$.
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## Some more comments on monomial orderings

- The lexicographical ordering is the one which will enable triangular rewritings of the input system.
However, its direct use is usually less efficient.


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- Graded orderings enjoy some interesting feature: any monomial is preceded by finitely many other monomials.

The grevlex ordering is better suited to computing Gröbner bases as it is related to some intrinsic complexity measures for polynomial ideals (notions of regularity that will appear later in the course).

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## Change of ordering algorithms?

## Leading monomials, coefficients and terms (I)

Let $\mathbb{K}$ be a field, $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$
and $\prec$ be an admissible monomial ordering over $R$.

## Leading monomials, coefficients and terms (I)

Let $\mathbb{K}$ be a field, $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$
and $\prec$ be an admissible monomial ordering over $R$.
Recall that $f \in R$ is a finite sequence of coefficients in $\mathbb{K}$ (indexed by monomials of $\mathbb{N}^{n}$ )

Assume $f \neq 0 \quad \sim\left(c_{\boldsymbol{\alpha}_{1}}, \ldots, c_{\boldsymbol{\alpha}_{t}}\right) \in \mathbb{K}-\{0\}^{t}$.

## Definition

Let $f \in R-\{0\}$. Let $1 \leq i \leq t$ be such that $\boldsymbol{\alpha}_{j} \prec \boldsymbol{\alpha}_{i}$ for all $1 \leq j \leq t$, $j \neq i$.

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- The leading coefficient of $f$ w.r.t. $\prec$, denoted by $\mathrm{LC}_{\prec}(f)$, is $c_{\boldsymbol{\alpha}_{i}}$.


## Leading monomials, coefficients and terms (II)

Consider again

$$
\begin{aligned}
& f_{1}=x_{1}^{2}+2 x_{2}^{2}+5 x_{1} x_{2}-1 \\
& f_{2}=x_{1}^{2}-3 x_{2}^{2}-2 x_{1} x_{2}+1
\end{aligned}
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Consider $\prec_{\text {lex }}, \prec_{\text {grlex }}$ and $\prec_{\text {grevlex }}$.
Compute the leading monomials, terms and coefficients of $f_{1}, f_{2}$.

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$$
\begin{aligned}
& \begin{array}{l}
f_{1}=\boxed{x_{1}^{2}}+2 x_{2}^{2}+5 x_{1} x_{2}-1 \\
f_{2}=\overline{x_{1}^{2}}-3 x_{2}^{2}-2 x_{1} x_{2}+1 \leadsto f_{1}=\boxed{x_{1}^{2}}+2 x_{2}^{2}+5 x_{1} x_{2}-1 \\
f_{2}=x_{1}^{2}-3 x_{2}^{2}-2 x_{1} x_{2}+1
\end{array} \\
& g_{1}=f_{1}-f_{2}=7 x_{1} x_{2}+5 x_{2}^{2}
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\end{aligned}
$$

Observe that $g_{1} \in\left\langle f_{1}, f_{2}\right\rangle$ brings a new information:
$\operatorname{LM}_{\text {grevlex }}\left(g_{1}\right) \notin\left\langle\operatorname{LM}_{\text {grevlex }}\left(f_{1}\right), \operatorname{LM}_{\text {grevlex }}\left(f_{2}\right)\right\rangle$
What could be the next steps?

## Eliminating terms - towards critical pairs

$$
\begin{aligned}
& f_{1}=\overleftarrow{x_{1}^{2}}+2 x_{2}^{2}+5 x_{1} x_{2}-1 \\
& f_{2}=\boxed{x_{1}^{2}}-3 x_{2}^{2}-2 x_{1} x_{2}+1 \leadsto \begin{array}{l}
f_{1}=\boxed{x_{1}^{2}}+2 x_{2}^{2}+5 x_{1} x_{2}-1 \\
\\
\\
\\
\\
\\
\\
\\
g_{2}=x_{1}=x_{1}^{2}-3 x_{2}^{2}-2 x_{1} x_{2}+1 \\
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& \\
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Remark that $x_{1} g_{1}, x_{2} f_{1}$ and $x_{2} f_{2}$ share the same leading monomial.

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& g_{2}=7 x_{2} f_{1}-x_{1} g_{1}=30 x_{1} x_{2}^{2}+14 x_{2}^{3}-7 x_{2} \\
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No new information $\left(g_{3} \in\left\langle f_{1}, f_{2}\right\rangle\right.$ and
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## Summary

Admissible monomial orders

Mimic degree extension step in Euclidean divison

New specific question: ideal membership for monomial ideals

More is needed...

## $S$-polynomials (I)

Let $\mathbb{K}$ be a field, $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$ and $\prec$ an admissible monomial ordering.

Let $\boldsymbol{\alpha}=\left(\alpha_{1}, \ldots, \alpha_{n}\right)$ and $\boldsymbol{\beta}=\left(\beta_{1}, \ldots, \beta_{n}\right)$ be two monomials of $R$. The least common multiple of $\boldsymbol{\alpha}, \boldsymbol{\beta}(\operatorname{lcm}(\boldsymbol{\alpha}, \boldsymbol{\beta}))$ is the monomial $\left(\max \left(\alpha_{1}, \beta_{1}\right), \ldots, \max \left(\alpha_{n}, \beta_{n}\right)\right)$.

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- Back to a notation with variables, it generates $\left\langle\boldsymbol{x}^{\alpha}\right\rangle \cap\left\langle\boldsymbol{x}^{\boldsymbol{\beta}}\right\rangle$.
- For $f, g$ in $R-\{0\}$, we define $\operatorname{Icm}_{\prec}(f, g)=\operatorname{Icm}\left(\mathrm{LM}_{\prec}(f), \mathrm{LM}_{\prec}(g)\right)$.


## S-polynomials (II)

Let $f$ and $g$ be in $R-\{0\}$. Let $\lambda=\operatorname{Icm}_{\prec}(f, g)$.
We define the $S$-polynomial of $(f, g)$ w.r.t. $\prec$ as

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\operatorname{spol}_{\prec}(f, g)=\frac{\lambda}{\mathrm{LT}_{\prec}(f)} f-\frac{\lambda}{\mathrm{LT}_{\prec}(g)} g
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- $\operatorname{spol}_{\prec}(f, g) \in\langle f, g\rangle$


## $S$-polynomials (II)

Let $f$ and $g$ be in $R-\{0\}$. Let $\lambda=\operatorname{lcm}_{\prec}(f, g)$.
We define the $S$-polynomial of $(f, g)$ w.r.t. $\prec$ as

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\operatorname{spol}_{\prec}(f, g)=\frac{\lambda}{\mathrm{LT}_{\prec}(f)} f-\frac{\lambda}{\mathrm{LT}_{\prec}(g)} g
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- As illustrated in the previous example, $S$-polynomials play a prominent role in discovering new relevant polynomials $g$ in some polynomial ideal $\left\langle f_{1}, \ldots, f_{s}\right\rangle$.


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\mathrm{LM}_{\prec}(g) \notin\left\langle\mathrm{LM}_{\prec}\left(f_{1}\right), \ldots, \mathrm{LM}_{\prec}\left(f_{s}\right)\right\rangle
$$

## Gröbner bases - Definition

Let $\mathbb{K}$ be a field, $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$ and $\prec$ an admissible monomial ordering over $R$.

## Definition

Let $I \subset R$ be an ideal. One says that $G \subset R$ is a Gröbner basis for $(I, \prec)$ if the following conditions hold:

- $G$ is finite;
- $G \subset I$;
- $\left\langle\mathrm{LM}_{\prec}(g) \mid \mathrm{g} \in G\right\rangle=\left\langle\mathrm{LM}_{\prec}(f) \mid f \in I\right\rangle$.


## Ideal membership problem for monomial ideals

Let $\mathbb{K}$ be a field and $R=\mathbb{K}\left[x_{1}, \ldots, x_{n}\right]$.

## Monomial ideals

Let $I \subset R$ be an ideal. One says that $I$ is a monomial ideal iff there exists a subset $S$ of monomials such that $I=\langle S\rangle$.

Note that we do not assume $S$ to be finite. Hilbert's basis theorem implies that $I$ is finitely generated by elements of $R$, not by monomials.

## Lemma

Let $I \subset R$ be a monomial ideal and $S \subset R$ be a set of monomial generators for $I$. Let $\boldsymbol{x}^{\alpha}$ be a monomial. The following holds:
$\boldsymbol{x}^{\boldsymbol{\alpha}} \in I \Longleftrightarrow \boldsymbol{x}^{\boldsymbol{\alpha}}$ is divisible by some monomial in $S$

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## Dickson's Lemma

Let $I \subset R$ be a monomial ideal. It holds that $I$ has a finite monomial basis.

## Hilbert series (I)

Let $I=\left\langle\boldsymbol{x}^{\boldsymbol{\alpha}_{1}}, \ldots, \boldsymbol{x}^{\boldsymbol{\alpha}_{s}}\right\rangle$
We define the Hilbert function as follows:

$$
d \mapsto \operatorname{HF}_{I}(d)=\sharp\left\{\boldsymbol{\beta} \in \mathbb{N}^{n} \mid \operatorname{deg}\left(\boldsymbol{x}^{\boldsymbol{\beta}}\right)=d \text { and } \boldsymbol{x}^{\boldsymbol{\beta}} \notin I\right\}
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$$
\begin{aligned}
& \text { Take } I=\left\langle x_{1}^{4}, x_{1}^{2} x_{2}, x_{1} x_{2}^{2}, x_{2}^{3}\right\rangle \\
& \qquad \operatorname{HS}_{I}(t)=1+2 t+3 t^{2}+t^{3}
\end{aligned}
$$

