

Lecture 2-13-1 - Polynomial systems,  
computer algebra and applications

Jean-Charles Faugère

Regular Sequence

Algebraic Cryptanalysis of HFE (first part)

Link between Critical Pairs and Linear Algebra

Characterizations of Gröbner Bases

$F_4$

$F_5$

2022 - 2023 – MPRI

# HFE Problem



Matsumoto, T., Imai, H.

“Public quadratic polynomial-tuples for efficient signature-verification and message-encryption”.

EUROCRYPT '88.



J. Patarin.

“Hidden Fields Equations (HFE) and Isomorphisms of Polynomials (IP): Two New Families of Asymmetric Algorithms”.

EUROCRYPT '96.

Secrets:

- two  $n \times n$  invertible matrices  $S$  and  $T$  with coefficients in  $\mathbb{F}_2$
- sparse univariate polynomial of degree  $D$

$$H(X) = \sum_{s \in \mathcal{S}} c_s X^s$$

where  $c_s \in \mathbb{F}_{2^n}$

and  $\mathcal{S} = \{2^i \mid 0 \leq i \text{ and } i \leq D\} \cup \{2^i + 2^j \mid 0 \leq i < j \text{ and } i + j \leq D\}$

Particular case:  $\mathcal{S} = \{2^i + 2^j\}$  then  $H(X)$  is a monomial.

## Finite Field Extension

### Definition (Finite Field Extension)

The field  $\mathbb{F}_{p^n}$  where  $p$  prime and  $n \geq 1$  may be explicitly constructed in the following way. One first chooses an irreducible polynomial  $P$  in  $\mathbb{F}_p[X]$  of degree  $n$  (such an irreducible polynomial always exists). Then the quotient ring  $\mathbb{F}_{p^n} = \mathbb{F}_p[X]/(P)$  of the polynomial ring  $\mathbb{F}_p[X]$  by the ideal generated by  $P$  is a field of order  $p^n$ .

In our case:  $p = 2$ ,  $P$  is of degree  $n$  any  $x \in \mathbb{F}_{2^n}$  can be written  $\sum_{i=0}^{n-1} x_i \omega^i$  where  $x_i \in \mathbb{F}_2$  (where  $\omega$  is the class of  $X$  in  $\mathbb{F}_p[X]/(P)$ ).

### Example

$$\mathbb{F}_4 = \mathbb{F}_2[X]/(X^2 + X + 1).$$

## HFE Problem: Public Key

We define the polynomial ring  $R = \mathbb{F}_2[X_1, \dots, X_n]$  and we substitute  $X$  by  $\sum_{i=0}^{n-1} X_i \omega^i$  into the HFE polynomial  $H(X)$ :

$$H\left(\sum_{i=0}^{n-1} X_i \omega^i\right) = \sum_{i=0}^{n-1} H_i(X_1, \dots, X_n) \omega^i$$

where each  $H_i \in R$  is a polynomial of **degree 2**.

The next step is to mix the variables:

$$\begin{pmatrix} X_1 \\ \dots \\ X_n \end{pmatrix} = T \times \begin{pmatrix} x_1 \\ \dots \\ x_n \end{pmatrix}$$

and the equations:

$$\begin{pmatrix} P_1 \\ \dots \\ P_n \end{pmatrix} = S \times \begin{pmatrix} H_1 \\ \dots \\ H_n \end{pmatrix}$$

### HFE Public Key

$(P_1, \dots, P_n) \in R^n$  is the Public Key.

## HFE Problem: Public Key

$$\begin{pmatrix} X_1 \\ \dots \\ X_n \end{pmatrix} = T \times \begin{pmatrix} x_1 \\ \dots \\ x_n \end{pmatrix}$$

and the equations:

$$\begin{pmatrix} P_1 \\ \dots \\ P_n \end{pmatrix} = S \times \begin{pmatrix} H_1 \\ \dots \\ H_n \end{pmatrix}$$

In other words, we compose  $S$ ,  $H$  and  $T$ :

$$S(H(T(X))) = (P_1(x_1, \dots, x_n), \dots, P_n(x_1, \dots, x_n))$$

## HFE Encrypt/Verify

### Encrypt

Assume that  $M = (M_1, \dots, M_n) \in \mathbb{F}_2^n$  is then message. Then

$$\begin{pmatrix} C_1 \\ \dots \\ C_n \end{pmatrix} = \begin{pmatrix} P_1(M_1, \dots, M_n) \\ \dots \\ P_n(M_1, \dots, M_n) \end{pmatrix}$$

is the ciphertext.

This operation is very fast since it is just the evaluation of quadratic polynomials.

### Exercise

This operation can be done with linear algebra. Why ?

## HFE Decrypt/Sign

### Decrypt

Assume that  $C = (C_1, \dots, C_n) \in \mathbb{F}_2^n$  is then ciphertext.

To decrypt the ciphertext  $C$ , we first find all solutions  $Z$  to the univariate equation

$$H(Z) = S^{-1}C,$$

next we compute  $T^{-1}Z$  to recover the original message.

Hence we have to solve a degree  $D$  univariate equation.

Find the roots of a polynomial of degree  $F$  with coefficients in  $\mathbb{F}_{2^n}$  can be done (see [5] for instance) in  $\mathcal{O}(\mathbf{M}(d) \log(d))$  operations in  $\mathbb{F}_{2^n}$  where  $\mathbf{M}(d)$  is the cost of polynomial multiplication.

In practice, we cannot take arbitrarily big value for the degree of the univariate polynomial (say  $d \leq 1024$ ).

### HFE Challenge

For instance:  $n = 80$ ,  $d = 96$  for the first HFE Challenge.

## *Algebraic Attack*

The direct attack is obvious: given  $(M_1, \dots, M_n)$  we have to solve the following polynomials system:

$$\begin{cases} P_1(x_1, \dots, x_n) = M_1 \\ \dots \\ P_n(x_1, \dots, x_n) = M_n \end{cases}$$



## Algebraic Attack

$(M_1, \dots, M_n)$  is given.

More precisely since the solutions are to be found in  $\mathbb{F}_2$  we have to solve the following system:

$$\left\{ \begin{array}{l} P_1(x_1, \dots, x_n) = M_1 \\ \dots \\ P_n(x_1, \dots, x_n) = M_n \\ x_1^2 - x_1 \\ \dots \\ x_n^2 - x_n \end{array} \right.$$

### Question

What is the complexity of solving the algebraic system?

What is the complexity of computing the Gröbner basis.

## Simple Estimation of the Complexity

From a complexity point of view the two important parameters are:  $d$  the maximal degree occurring in the computation and the size  $N_d$  of the Macaulay matrix in degree  $d$ .

Then the whole complexity is simply  $N_d^\omega$  where  $2 \leq \omega \leq 3$  is the cost of linear algebra.

Since we add the field equations  $x_i^2 - x_i$  it means that all the monomials are squarefree, so that

$$N_d = \binom{n}{d}$$

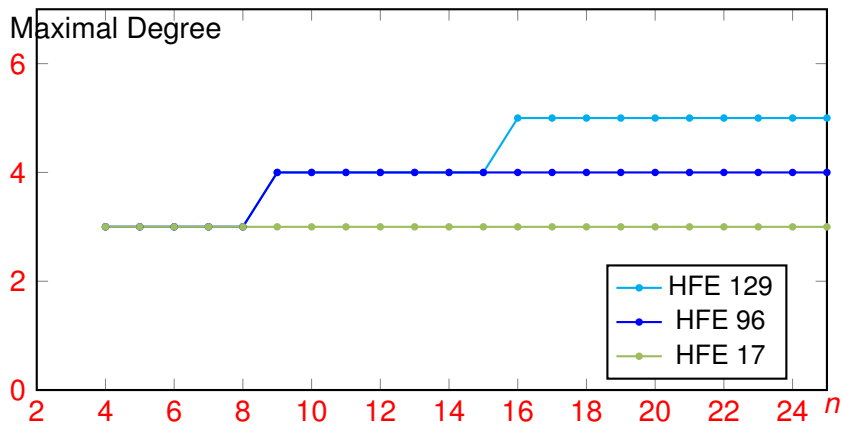
Hence, the main goal is to estimate  $d$ .

We begin by running some experiments.

## Experimental Complexity

$D$	16	17	33	96	128	129	257	384	512	513
Max degree	3	4	4	4	4	5	5	5	5	6

Relation between  $D$  and the maximal degree.

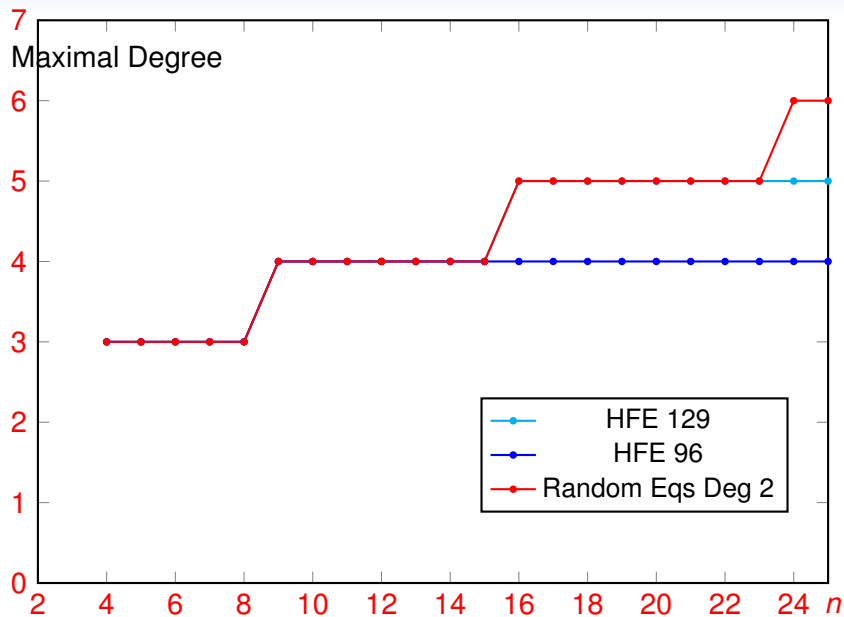


## Experimental Complexity

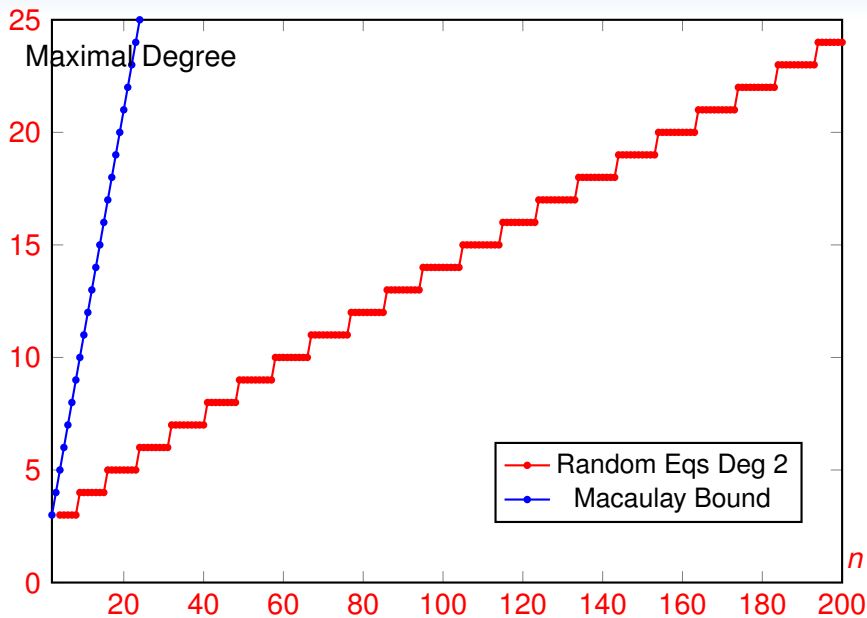
n	14	15	16	17	23	24	25
degree	4	4	5	5	5	6	6
nb of rows	1695	1379	8840	11424	40480	223124	278875

Maximal degree occurring in Gröbner for *random* systems.

## Experimental Complexity



# Complexity



$F_4$

---

$F_4$

---

## Matrix representation of polynomials

### Definition

If  $F = [f_1, \dots, f_m]$  is a **vector** of  $m$  polynomials and  $<$  an admissible ordering,  $T_{<}(F) = [t_1, \dots, t_j]$  the monomials in the support of  $F$  sorted for  $<$ . The **matrix representation** of  $M_{T_{<}(F)}(F)$  wrt  $F$  is:

$$M(F) = \begin{array}{c} f_1 \\ f_2 \\ f_3 \end{array} \left| \begin{array}{ccc} t_1 & t_2 & t_3 \\ \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots \end{array} \right|$$
$$M(F)_{f_i, t_j} = \text{coeff}(f_i, t_j)$$

Moreover,  $M(F)$  satisfies the following equation:

$$F = M(F) \cdot T_{<}(F)$$



## *Polynomial representation of a matrix*

### Definition

If  $M$  is a matrix of size  $l \times m$  with coefficients in  $\mathbb{K}$  and  $X = [t_1, \dots, t_m]$  is a vector of terms, then the **polynomial representation** of  $M$  wrt  $X$  is the vector of  $l$  polynomials given by:

$$F = M \cdot X$$

## Example ( Cyclic 4 Problem)

The monomial ordering is **DRL**

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

The matrix representation of  $F_1 = [f_3, bf_4, df_4]$  is:

$$A_1 = M(F_1) = \begin{array}{l} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ 0 & 0 & 0 & 1 & 1 & 1 & 1 \\ 1 & 0 & 1 & 1 & 0 & 1 & 0 \\ 1 & 1 & 1 & 0 & 1 & 0 & 0 \end{array} \right|$$

## Example ( Cyclic 4 Problem)

The monomial ordering is **DRL**

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

The matrix representation of  $F_1 = [f_3, bf_4, df_4]$  is:

$$A_1 = M(F_1) = \begin{array}{l} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ & & & 1 & 1 & 1 & 1 \\ 1 & & 1 & 1 & & 1 & \\ 1 & 1 & 1 & & 1 & & \end{array} \right|$$

## Macaulay matrix

### Definition (Macaulay matrix [?])

Let  $F = [f_1, \dots, f_m]$  a vector of  $m$  polynomials and  $d$  a non negative integer then the **Macaulay matrix** in degree  $d$  of  $F$   $\mathcal{M}_d^{\text{acaulay}}(F)$ , is the matrix representation of

$$F^{(d)} = [t_j \cdot f_i \mid 1 \leq i \leq m \text{ and } t_j \in T \text{ with } \deg(t_j) \leq d - \deg(f_i)]$$

$$\mathcal{M}_d^{\text{acaulay}}(F) = M(F^{(d)}) = \begin{array}{ccc|ccc} & & & m_1 & m_2 & m_3 \\ t_1 f_1 & \left| \begin{array}{ccc} \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots \\ \cdots & \cdots & \cdots \end{array} \right. & & & \end{array}$$

## Echelon form of a matrix

The basis operation is to compute a row echelon form of matrix; this will be the most costly operation.

### Definition

If  $M(F)$  is the matrix representation of a vector of polynomials  $F$  we denote by  $\widetilde{M(F)}$  the Gaussian elimination of  $M(F)$  (without pivoting the columns of the matrix).

We extend this definition to polynomials:

### Definition

Let  $F \subset \mathbb{K}[x_1, \dots, x_n]$  and  $<$  a monomial ordering. We denote by  $\tilde{F}$  the polynomial representation of  $\widetilde{M(F)}$ . We say that  $\tilde{F}$  is the echelon form of  $F$  (or a Gaussian elimination) wrt  $<$ .

## Example

The matrix representation of  $F_1 = [f_3, bf_4, df_4]$  is:

$$A_1 = M(F_1) = \begin{array}{c} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ & & & 1 & 1 & 1 & 1 \\ 1 & & 1 & 1 & & 1 & \\ 1 & 1 & 1 & & 1 & & \end{array} \right|$$

## Example

The matrix representation of  $F_1 = [f_3, bf_4, df_4]$  is:

$$\tilde{A}_1 = \begin{array}{c} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ & & & 1 & 1 & 1 & 1 \\ 1 & & 1 & & -1 & & -1 \\ & 1 & & & 2 & & 1 \end{array} \right|$$

## Example

The polynomial representation of:

$$\tilde{A}_1 = \begin{array}{l} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ & & & 1 & 1 & 1 & 1 \\ 1 & & 1 & & -1 & & -1 \\ & 1 & & & 2 & & 1 \end{array} \right|$$

$$\tilde{F}_1 = \left[ \begin{array}{l} f_5 = ad + bd + cd + d^2, \\ f_6 = ab + bc - bd - d^2, \\ f_7 = b^2 + 2bd + d^2 \end{array} \right]$$



## *Macaulay method*

The idea of using linear algebra to solve polynomial systems [date back to Macaulay](#).

Macaulay matrix is a generalization of the [Sylvester](#) matrix [?] ( the matrix involved in the computation of the resultant of **2** polynomials).

The link between the computation of a truncated  **$d$** -Gröbner basis is given by the following theorem of Lazard:

### *Theorem (Lazard)*

If  $F = \{f_1, \dots, f_m\}$  is a set of homogeneous polynomials then

$\mathcal{M}_d^{\text{macaulay}}(F)$  is a (non reducible)  **$d$** -Gröbner basis of  $F$ .

If  $F = \{f_1, \dots, f_m\}$  is a set of polynomials, then there exists  **$d > 0$**  such that  $\mathcal{M}_d^{\text{macaulay}}(F)$  is a Gröbner basis of  $F$ .

## Macaulay bound

### Theorem (Macaulay bound)

Let  $F = \{f_1, \dots, f_m\}$  is a set of homogeneous polynomials which is a *regular sequence*. We define

$$D = 1 + \sum_{i=1}^m (\deg(f_i) - 1)$$

then  $\widetilde{\mathcal{M}}_D^{\text{macaulay}}(F)$  is a (non reduced) Gröbner basis of  $F$ .

## Regular sequence I

We consider the Macaulay matrix of  $F = [f_1, \dots, f_m]$ .

If the Macaulay matrix is singular  $\longleftrightarrow$  the rows of the matrix are not independent.

Moreover, each row of the matrix is a product  $t \times f$  where  $t$  is a term and  $f \in F$ ; the linear dependence can be expressed by

$\sum_{f \in F, t \in T} \lambda_{t,f} t f = 0$  or equivalently by grouping terms:

$$\sum_{i=1}^m g_i f_i = 0 \quad (1)$$

where  $g_i$  are polynomials in  $\mathbb{K}[x_1, \dots, x_n]$ . We say that  $(g_1, \dots, g_m)$  is a **syzygy**. The relation (5) can be rewritten:

$$g_1 f_1 = 0 \text{ modulo } \text{Id}(f_2, \dots, f_m) \quad (2)$$

in other words it is a **zero divisor** (if  $g_1 \neq 0$ ).

## Regular sequence II

A linear system is non singular if one cannot find a non zero linear combination:

$$\sum_{i=1}^m \lambda_i f_i = 0 \text{ with } \lambda_i \in \mathbb{K} \quad (3)$$

For algebraic systems: it is **not possible** to avoid non zero relations (5) :

$$f_i f_j - f_j f_i = 0 \quad (4)$$

We say that it is a trivial syzygy.

## Regular sequence I

We consider the Macaulay matrix of  $F = [f_1, \dots, f_m]$ .

If the Macaulay matrix is singular  $\iff$  the rows of the matrix are not independent.

Moreover, each row of the matrix is a product  $t \times f$  where  $t$  is a term and  $f \in F$ ; the linear dependence can be expressed by

$\sum_{f \in F, t \in T} \lambda_{t,f} t f = 0$  or equivalently by grouping terms:

$$\sum_{i=1}^m g_i f_i = 0 \quad (5)$$

where  $g_i$  are polynomials in  $\mathbb{K}[x_1, \dots, x_n]$ . We say that  $(g_1, \dots, g_m)$  is a **syzygy**. The relation (5) can be rewritten:

$$g_1 f_1 = 0 \text{ modulo } \text{Id}(f_2, \dots, f_m) \quad (6)$$

in other words it is a **zero divisor** (if  $g_1 \neq 0$ ).

## Regular sequence II

A linear system is non singular if one cannot find a non zero linear combination:

$$\sum_{i=1}^m \lambda_i f_i = 0 \text{ with } \lambda_i \in \mathbb{K} \quad (7)$$

For algebraic systems: it is **not possible** to avoid non zero relations (5) :

$$f_i f_j - f_j f_i = 0 \quad (8)$$

We say that it is a trivial syzygy.

## Regular sequence III

### Definition (Regular Sequence)

**Algebraic definition:** the system  $(f_1, \dots, f_m)$  of homogeneous polynomials is **regular** if for all  $i = 1, \dots, m$  and  $g$  such that

$$g \cdot f_i \in \langle f_1, \dots, f_{i-1} \rangle$$

then  $g$  is also in  $\langle f_1, \dots, f_{i-1} \rangle$ .

**Geometric definition:** the system  $(f_1, \dots, f_m)$  of homogeneous polynomials is **regular** if for all  $i \in \{1, \dots, m\}$ , the **dimension** of  $\langle f_1, \dots, f_i \rangle$  is  $n - i$ .

We say that the sequence  $(f_1, \dots, f_m)$  is regular.

The sequence  $(f_1, \dots, f_m)$  of affine polynomials is regular if the sequence  $(f_1^h, \dots, f_m^h)$  is regular ( $f_i^h$  is the highest homogeneous part of  $f_i$ ).

## Regular sequence IV

### Remark

Another characterization of regular sequences: there is no relation

$$\sum_i g_i \cdot f_i = 0 \text{ with } g_i \in \mathbb{K}[x_1, \dots, x_n]$$

except the relations induced by the trivial syzygies  $f_i f_j = f_j f_i$ .

### Remark

From the geometric definition: there is no regular sequence when  $m > n$ .



# Link between Critical Pairs and Linear Algebra

## Characterizations of Gröbner Bases

## Characterizations of Gröbner Bases

Useful characterizations of Gröbner bases.

Definition (*t*-representation)

Let  $P = [p_1, \dots, p_k]$  be a finite subset of  $\mathbb{K}[x_1, \dots, x_n]$ ,  $0 \neq f \in \mathbb{K}[x_1, \dots, x_n]$ , and  $t \in T$ . Assume that there exists  $(g_1, \dots, g_k) \in \mathbb{K}[x_1, \dots, x_n]^k$  such that:

$$f = \sum_{i=1}^k g_i p_i$$

We say that it is a *t*-representation of  $f$  wrt  $P$  if  $t \geq \text{LT}(g_i p_i)$  for all  $1 \leq i \leq k$ . We denote by  $f = O_P(t)$  this property.

We note  $f = o_P(t)$  when there exists  $t' \in T$  such that  $t' < t$  and  $f = O_P(t')$ .

## Characterizations of Gröbner Bases

### Proposition

If  $f, g$  are polynomials and  $t$  is a term,  $P$  a finite subset of polynomials, then

$$f = O_P(t) \quad g = O_P(t) \quad \text{implies} \quad f + g = O_P(t)$$

$$f = o_P(t) \quad g = o_P(t) \quad \text{implies} \quad f + g = o_P(t)$$

$$f = O_P(t) \quad u \in T \quad \text{implies} \quad u f = O_P(ut)$$

$$f = o_P(t) \quad u \in T \quad \text{implies} \quad u f = o_P(ut)$$

### Proposition (R)

If  $\text{REDUCTION}(p, P) = 0$  or  $p \xrightarrow{P}^* 0$  then  $p = O_P(\text{LT}(p))$ .

*Proof.*

Easy exercise. □

## Characterizations of Gröbner Bases

When  $f = O_G(\text{LT}(f))$  we say that  $f$  has a standard representation wrt  $G$ .

*Theorem*

$G$  is a Gröbner basis if and only if  $\forall 0 \neq f \in \text{Id}(G), f = O_G(\text{LT}(f))$ .

*Proof.*

Exercise. □

and what happen when

$$f \neq O_G(\text{LT}(f)) ?$$

## *Cancellation*

$$f \in I = \text{Id}(f_1, \dots, f_m)$$

By definition:

$$f = g_1 f_1 + \dots + g_m f_m$$

## Cancellation

$$f \in I = \text{Id}(f_1, \dots, f_m)$$

By definition:

$$f = g_1 f_1 + \dots + g_m f_m$$

Not a unique representation !

$$\begin{array}{r} g_1 f_1 \quad \bullet \quad \bullet \quad \dots \\ + g_2 f_2 \quad \bullet \quad \bullet \quad \dots \\ + g_3 f_3 \quad \bullet \quad \dots \\ + g_4 f_4 \quad \bullet \quad \dots \\ + g_5 f_5 \quad \bullet \quad \dots \\ \vdots \end{array}$$

## Cancellation

$$f \in I = \text{Id}(f_1, \dots, f_m)$$

By definition:

$$f = g_1 f_1 + \dots + g_m f_m$$

Not a unique representation !

$$\begin{array}{r} g_1 f_1 \quad \bullet \quad \bullet \quad \dots \\ + g_2 f_2 \quad \bullet \quad \bullet \quad \dots \\ + g_3 f_3 \quad \quad \bullet \quad \dots \\ + g_4 f_4 \quad \quad \bullet \quad \dots \\ + g_5 f_5 \quad \quad \bullet \quad \dots \\ \vdots \\ \hline = \quad 0 \quad 0 \quad \bullet \quad \dots \end{array}$$

# Cancellation

$$f \in I = \text{Id}(f_1, \dots, f_m)$$

By definition:

$$f = g_1 f_1 + \dots + g_m f_m$$

Not a unique representation !

$$\begin{array}{r} g_1 f_1 \\ + g_2 f_2 \\ + g_3 f_3 \\ + g_4 f_4 \\ + g_5 f_5 \\ \vdots \end{array} \begin{array}{l} \bullet \dots \\ \bullet \dots \\ \bullet \dots \\ \bullet \dots \\ \bullet \dots \end{array}$$

$$= \quad 0 \quad 0 \quad \bullet \dots$$

$S(f_1, f_2) ?$



## Characterizations of Gröbner Bases

### Theorem

$G$  is a Gröbner basis if and only if  $\forall 0 \neq f \in \text{Id}(G), f = O_G(\text{LT}(f))$ .

### Theorem

Let  $G$  be a finite subset of polynomials. If for all  $g_1, g_2$  in  $G$ , we have  $\text{Spol}(g_1, g_2) = 0$  or  $\text{Spol}(g_1, g_2) = o_G(\text{lcm}(g_1, g_2))$ , then  $G$  is a Gröbner basis.

### Proof.

We need to prove a lemma first . . .



## Proof of the theorem: lemma

### Lemma

Let  $f_1, \dots, f_k$  be nonzero polynomials in  $\mathbb{K}[x_1, \dots, x_n]$  and  $t \in T$ . Consider  $f = O_P(t) = \sum_{i=1}^k c_i \mathbf{x}^{\alpha_i} f_i$ , where  $c_i \in \mathbb{K}^*$  such that

$$t = \mathbf{x}^{\alpha_1} LT(f_1) = \dots = \mathbf{x}^{\alpha_k} LT(f_k).$$

If  $LT(f) < t$ , then  $k > 1$  and  $f$  can be rewritten:

$$f = \sum_{i=1}^{k-1} b_i \frac{t}{\tau_i} \text{Spol}(f_i, f_{i+1}) \text{ with } b_i \in \mathbb{K}, \quad (9)$$

where  $\tau_i = \text{lcm}(f_i, f_{i+1})$ . Furthermore

$$\frac{t}{\tau_i} LT(\text{Spol}(f_i, f_{i+1})) < t, \text{ for all } i = 1, \dots, k-1.$$

## Characterizations of Gröbner Bases

### Corollary (Buchberger)

Let  $G$  be a finite subset of polynomials.  $G$  is a Gröbner basis if and only if  $\text{Spol}(f, g) \xrightarrow{*} 0$  for all  $(f, g) \in G^2$ .

### Corollary (Buchberger)

Let  $G$  be a finite subset of polynomials.  $G$  is a Gröbner basis if and only if  $\text{REDUCTION}(\text{Spol}(f, g), G) = 0$  for all  $(f, g) \in G^2$ .

### Proof.

Let  $(f, g) \in G^2$ ,  $f \neq g$ . Put  $t = \text{LT}(\text{Spol}(f, g)) < \text{lcm}(f, g)$   
If  $\text{REDUCTION}(\text{Spol}(f, g), G) = 0$  then from proposition (R) :  
 $\text{Spol}(f, g) = O_G(\text{LT}(\text{Spol}(f, g))) = O_G(t) = o_G(\text{lcm}(f, g))$  and we can  
apply the theorem. □

## Buchberger Algorithm

Very simple version of the **Buchberger** algorithm:

### Algorithm (Buchberger)

**Input:**  $\left\{ \begin{array}{l} F = [f_1, \dots, f_s] \text{ a list of polynomials} \\ < \text{admissible ordering} \end{array} \right.$

**Output:**  $G$  a finite subset of  $\mathbb{K}[x_1, \dots, x_n]$ .

$G := F$  and  $m := s$

$P := \{(f_i, f_j) \mid 1 \leq i < j \leq m\}$  the list of critical pairs

**while**  $P \neq \emptyset$  **do**

    Select and remove from  $P$  a critical pair  $(f, g)$

$f_{m+1} := \text{Spol}(f, g)$

$f_{m+1} := \text{REDUCTION}(f_{m+1}, G)$

**if**  $f_{m+1} \neq 0$  **then**

$m := m + 1$

$P := P \cup \{(f_i, f_m) \mid 1 \leq i < m\}$

$G := G \cup \{f_m\}$

**return**  $G$

$F_4$

$F_4$

## The $F_4$ algorithm

### Definition

A critical pairs of  $(f_i, f_j)$  is a member of  $T^2 \times \mathbb{K}[x_1, \dots, x_n] \times T \times \mathbb{K}[x_1, \dots, x_n]$ ,

$$\text{Pair}(f_i, f_j) := (\text{lcm}_{ij}, t_i, f_i, t_j, f_j)$$

such that

$$\text{lcm}(\text{Pair}(f_i, f_j)) = \text{lcm}_{ij} = \text{LT}(t_i f_i) = \text{LT}(t_j f_j) = \text{lcm}(f_i, f_j)$$

### Definition

We define the degree of the critical pair  $\rho_{i,j} = \text{Pair}(f_i, f_j)$ ,  $\deg(\rho_{i,j})$ , to be  $\deg(\text{lcm}_{i,j})$ . We define the following operators:

$$\text{Left}(\rho_{i,j}) := t_i \cdot f_i \text{ et } \text{Right}(\rho_{i,j}) := t_j \cdot f_j$$

## Algorithm $F_4$ [?] (simplified version)

Input:  $\left\{ \begin{array}{l} F \text{ is a finite subset of } \mathbb{K}[x_1, \dots, x_n] \\ Sel \text{ is a function } List(Pairs) \rightarrow List(Pairs) \\ \text{such that } Sel(I) \neq \emptyset \text{ if } I \neq \emptyset \end{array} \right.$

Output: un sous ensemble fini de  $\mathbb{K}[x_1, \dots, x_n]$ .

$G := F$ ,  $\tilde{F}_0^+ := F$ ,  $d := 0$  and  $P := \{Pair(f, g) \mid (f, g) \in G^2 \text{ with } f \neq g\}$

**while**  $P \neq \emptyset$  **do**

$d := d + 1$

$P_d := Sel(P)$

$P := P \setminus P_d$

$L_d := Left(P_d) \cup Right(P_d)$

$\tilde{F}_d^+ := REDUCTION(L_d, G)$

**for**  $h \in \tilde{F}_d^+$  **do**

$P := P \cup \{Pair(h, g) \mid g \in G\}$

$G := G \cup \{h\}$

**return**  $G$

We can now extend the definition of reduction of a polynomial modulo a subset of  $\mathbb{K}[x_1, \dots, x_n]$ , to the reduction of a subset of  $\mathbb{K}[x_1, \dots, x_n]$  modulo another subset of  $\mathbb{K}[x_1, \dots, x_n]$ :

### Algorithm REDUCTION

**Input:**  $L, G$  finite subsets of  $\mathbb{K}[x_1, \dots, x_n]$

**Output:** a finite subset of  $\mathbb{K}[x_1, \dots, x_n]$  (could be empty).

$F := \text{SYMBOLICPREPROCESSING}(L, G)$

$\tilde{F} :=$  Gaussian reduction of  $F$  wrt  $<$

$\tilde{F}^+ := \{f \in \tilde{F} \mid \text{LT}(f) \notin \text{LT}(F)\}$  // the “useful” part of  $\tilde{F}$

**return**  $\tilde{F}^+$



No arithmetic operation is used: it is a symbolic preprocessing.

### Algorithm SYMBOLICPREPROCESSING

**Input:**  $L, G$  finite subsets of  $\mathbb{K}[x_1, \dots, x_n]$

**Output:** a finite subset of  $\mathbb{K}[x_1, \dots, x_n]$

$F := L$

$Done := LT(F)$

**while**  $T(F) \neq Done$  **do**

    choose  $m$  an element of  $T(F) \setminus Done$

$Done := Done \cup \{m\}$

**if**  $m$  top réductible modulo  $G$  **then**

        exists  $g \in G$  and  $m' \in T$  such that  $m = m' \cdot LT(g)$

$F := F \cup \{m' \cdot g\}$

**return**  $F$

The SYMBOLICPREPROCESSING function is very efficient: its complexity is proportional to the size of the output (if  $\#G$  is smaller than the final size of  $T(F)$ ) [parallel implementation].

### Lemma (1)

For all polynomials  $p \in L_d$ , we have  $p \xrightarrow{G \cup \tilde{F}^+} 0$

### Theorem

The  $F_4$  algorithm computes a Gröbner basis of  $G$  in  $\mathbb{K}[x_1, \dots, x_n]$  such that  $F \subseteq G$  and  $\text{Id}(G) = \text{Id}(F)$ .

### Proof.

...



### Remark

If  $\#Sel(I) = 1$  for all  $I \neq \emptyset$  then the  $F_4$  algorithm reduces to the Buchberger algorithm. In this case the function  $Sel$  is the equivalent of the selection strategy for the Buchberger algorithm.

## Selection function

### Algorithm Selection

**Input:**  $P$  a list of critical pairs

**Output:** a list of critical pairs.

$d := \min \{ \deg(\text{lcm}(p)) \mid p \in P \}$

$P_d := \{ p \in P \mid \deg(\text{lcm}(p)) = d \}$

**return**  $P_d$

We call this strategy *the normal strategy for  $F_4$* .

Hence, if the input polynomials are homogeneous, we obtain in degree  $d$ , a  $d$  Gröbner basis; *Sel* selects, in the next step, all the critical pairs which are needed to compute the Gröbner basis in degree  $d + 1$ .

## Optimisations

- including Buchberger Criteria (or  $F_5$  criterion).
- reuse **all** the rows in the reduced matrices.

### Algorithm Buchberger Criteria - Implementation

$(G_{new}, P_{new}) := \text{UPDATE}(G_{old}, P_{old}, h)$

**Input:**  $\begin{cases} \text{a finite subset } G_{old} \text{ of } \mathbb{K}[x_1, \dots, x_n] \\ \text{a finite subset } P_{old} \text{ of critical pairs in } \mathbb{K}[x_1, \dots, x_n] \\ 0 \neq h \in \mathbb{K}[x_1, \dots, x_n] \end{cases}$

**Output:** a finite subset in  $\mathbb{K}[x_1, \dots, x_n]$  an updated list of critical pairs.

## Algorithm $F_4$ algorithm (with Criteria)

Input:  $\left\{ \begin{array}{l} F \subset \mathbb{K}[x_1, \dots, x_n] \\ \text{Sel a function } \text{List}(Pairs) \rightarrow \text{List}(Pairs) \end{array} \right.$

**Output:** a finite subset of  $\mathbb{K}[x_1, \dots, x_n]$ .

$G := \emptyset$  and  $P := \emptyset$  and  $d := 0$

**while**  $F \neq \emptyset$  **do**

$f := \text{first}(F)$ ;  $F := F \setminus \{f\}$

$(G, P) := \text{UPDATE}(G, P, f)$

**while**  $P \neq \emptyset$  **do**

$d := d + 1$

$P_d := \text{Sel}(P)$ ;  $P := P \setminus P_d$

$L_d := \text{Left}(P_d) \cup \text{Right}(P_d)$

$(\tilde{F}_d^+, F_d) := \text{REDUCTION}(L_d, G, (F_i)_{d=1, \dots, (d-1)})$

**for**  $h \in \tilde{F}_d^+$  **do**

$P := P \cup \{\text{Pair}(h, g) \mid g \in G\}$

$(G, P) := \text{UPDATE}(G, P, h)$

**return**  $G$

## F4: step by step

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

Monomial ordering is DRL and the normal strategy

$$F = \begin{cases} f_1 = x_1^2 + 66x_1x_2 + 4x_1x_3 + 25x_2^2 + 41x_2x_3 + 54x_3^2 + 42x_1 \\ \quad + 87x_2 + 22x_3 + 86, \\ f_2 = x_1^2 + 22x_1x_2 + 38x_1x_3 + 9x_2^2 + 53x_2x_3 + 6x_3^2 + 92x_1 \\ \quad + 61x_2 + 74x_3 + 49, \\ f_3 = x_1^2 + 13x_1x_2 + 86x_1x_3 + 29x_2^2 + 11x_2x_3 + 81x_3^2 + 98x_1 \\ \quad + 67x_2 + 7x_3 + 40 \end{cases}$$

At the beginning  $G = \{f_1\}$  and  $P_1 = \{\text{Pair}(f_2, f_3), \text{Pair}(f_1, f_2)\}$  such that  $L_1 = \{(1, f_3), (1, f_2), (1, f_1)\}$ .

SYMBOLICPREPROCESSING( $L_1, G, \emptyset$ ):

$$F_1 = \{f_3, f_2, f_1\} \quad T(F_1) = \{x_1^2, x_1x_2, x_1x_3, x_2^2, x_2x_3, x_3^2, x_1, x_2, x_3, 1\}$$

$x_1^2$  is already done. All the other monomials are not reducible.

## F4: step by step

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

At the beginning  $G = \{f_1\}$  and  $P_2 = \{\text{Pair}(f_2, f_3), \text{Pair}(f_1, f_2)\}$  such that  $L_2 = \{(1, f_3), (1, f_2), (1, f_1)\}$ .

SYMBOLICPREPROCESSING( $L_2, G, \emptyset$ ):

$$F_2 = \{f_3, f_2, f_1\} \quad T(F_2) = \{x_1^2, x_1x_2, x_1x_3, x_2^2, x_2x_3, x_3^2, x_1, x_2, x_3, 1\}$$

$x_1^2$  is already done. All the other monomials are not reducible.

Matrix representation of  $F_1 = [f_3, f_2, f_1]$  is:

$$A_1 = M(F_1) = \begin{array}{c|ccccccc} & x_1^2 & x_1x_2 & x_2^2 & x_1x_3 & x_2x_3 & x_3^2 & \dots \\ f_3 & 1 & 13 & 29 & 86 & 11 & 81 & \dots \\ f_2 & 1 & 22 & 26 & 38 & 53 & 6 & \dots \\ f_1 & 1 & 66 & 25 & 4 & 41 & 54 & \dots \end{array}$$

## F4: step by step

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

At the beginning  $G = \{f_1\}$  and  $P_2 = \{\text{Pair}(f_2, f_3), \text{Pair}(f_1, f_2)\}$  such that  $L_2 = \{(1, f_3), (1, f_2), (1, f_1)\}$ .

SYMBOLICPREPROCESSING( $L_2, G, \emptyset$ ):

$$F_2 = \{f_3, f_2, f_1\} \quad T(F_2) = \{x_1^2, x_1x_2, x_1x_3, x_2^2, x_2x_3, x_3^2, x_1, x_2, x_3, 1\}$$

$x_1^2$  is already done. All the other monomials are not reducible.

$$\widetilde{A}_2 = \begin{array}{c|cccccc} & x_1^2 & x_1x_2 & x_2^2 & x_1x_3 & x_2x_3 & x_3^2 & \dots \\ f_6 & 0 & 0 & 1 & 28 & 19 & 79 & \dots \\ f_5 & 0 & 1 & 0 & 12 & 2 & 5 & \dots \\ f_1 & 1 & 66 & 4 & 25 & 41 & 54 & \dots \end{array}$$



## F4: step by step

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

At the beginning  $G = \{f_1\}$  and  $P_2 = \{\text{Pair}(f_2, f_3), \text{Pair}(f_1, f_2)\}$  such that  $L_2 = \{(1, f_3), (1, f_2), (1, f_1)\}$ .

SYMBOLICPREPROCESSING( $L_2, G, \emptyset$ ):

$$F_2 = \{f_3, f_2, f_1\} \quad T(F_2) = \{x_1^2, x_1x_2, x_1x_3, x_2^2, x_2x_3, x_3^2, x_1, x_2, x_3, 1\}$$

$x_1^2$  is already done. All the other monomials are not reducible.

$$\widetilde{A}_2 = \begin{array}{c|ccccccc} & & x_1^2 & x_1x_2 & x_2^2 & x_1x_3 & x_2x_3 & x_3^2 & \dots \\ f_6 & 0 & 0 & 1 & 28 & 19 & 79 & \dots & \\ f_5 & 0 & 1 & 0 & 12 & 2 & 5 & \dots & \\ f_1 & 1 & 66 & 4 & 25 & 41 & 54 & \dots & \end{array}$$

Polynomial representation of  $\widetilde{A}_2$ :

## F4: step by step

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

At the beginning  $G = \{f_1\}$  and  $P_2 = \{\text{Pair}(f_2, f_3), \text{Pair}(f_1, f_2)\}$  such that  $L_2 = \{(1, f_3), (1, f_2), (1, f_1)\}$ .

SYMBOLICPREPROCESSING( $L_2, G, \emptyset$ ):

$$F_2 = \{f_3, f_2, f_1\} \quad T(F_2) = \{x_1^2, x_1 x_2, x_1 x_3, x_2^2, x_2 x_3, x_3^2, x_1, x_2, x_3, 1\}$$

$x_1^2$  is already done. All the other monomials are not reducible.

$$\widetilde{A}_2 = \begin{array}{c|cccccc} & x_1^2 & x_1 x_2 & x_2^2 & x_1 x_3 & x_2 x_3 & x_3^2 & \dots \\ f_6 & 0 & 0 & 1 & 28 & 19 & 79 & \dots \\ f_5 & 0 & 1 & 0 & 12 & 2 & 5 & \dots \\ f_1 & 1 & 66 & 4 & 25 & 41 & 54 & \dots \end{array}$$

Polynomial representation of  $\widetilde{A}_2$ :

$$\begin{aligned} f_5 &= x_1 x_2 + 12 x_1 x_3 + 2 x_2 x_3 + 55 x_3^2 + 66 x_1 + 88 x_2 + 60 x_3 + 92, \\ f_6 &= x_2^2 + 28 x_1 x_3 + 19 x_2 x_3 + 79 x_3^2 + 30 x_1 + 50 x_2 + 59 x_3 + 46 \end{aligned}$$

## *F4: step by step*

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

In degree 3:  $P_3 = \{\text{Pair}(f_1, f_5), \text{Pair}(f_5, f_6)\}$  such that  
 $L_3 = \{(x_2, f_1), (x_1, f_5), (x_2, f_5), (x_1, f_6)\}$ .

SYMBOLICPREPROCESSING( $L_3, G, \emptyset$ ):

$$F_3 = \{x_2 f_1, x_1 f_5, x_2 f_5, x_1 f_6\}$$

$$T(F_3) = \{x_1^2 x_2, x_1 x_2^2, x_2^3, x_1 x_2 x_3, x_1 x_3^2, x_1 x_3, \dots\}$$

## F4: step by step

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

In degree 3:  $P_3 = \{\text{Pair}(f_1, f_5), \text{Pair}(f_5, f_6)\}$  such that  
 $L_3 = \{(x_2, f_1), (x_1, f_5), (x_2, f_5), (x_1, f_6)\}$ .

SYMBOLICPREPROCESSING( $L_3, G, \emptyset$ ):

$$F_3 = \{x_2 f_1, x_1 f_5, x_2 f_5, x_1 f_6\}$$

$$T(F_3) = \{\boxed{x_1^2 x_2}, \boxed{x_1 x_2^2}, x_2^3, x_1 x_2 x_3, x_1 x_3^2, x_1 x_3, \dots\}$$

$$x_2^3 \text{ is divisible by } x_2 f_6 \longrightarrow F_3 = F_3 \cup \{x_2 f_6\}$$

$$x_1 x_2 x_3 \text{ is divisible by } x_3 f_5 \longrightarrow F_3 = F_3 \cup \{x_3 f_5\}$$

...

## F4: step by step

### Example (3 quadratic equation in $\mathbb{F}_{101}$ )

In degree 3:  $P_3 = \{\text{Pair}(f_1, f_5), \text{Pair}(f_5, f_6)\}$  such that  
 $L_3 = \{(x_2, f_1), (x_1, f_5), (x_2, f_5), (x_1, f_6)\}$ .

SYMBOLICPREPROCESSING( $L_3, G, \emptyset$ ):

$$F_3 = \{x_2 f_1, x_1 f_5, x_2 f_5, x_1 f_6\}$$

$$T(F_3) = \{\boxed{x_1^2 x_2}, \boxed{x_1 x_2^2}, x_2^3, x_1 x_2 x_3, x_1 x_3^2, x_1 x_3, \dots\}$$

$$x_2^3 \text{ is divisible by } x_2 f_6 \longrightarrow F_3 = F_3 \cup \{x_2 f_6\}$$

$$x_1 x_2 x_3 \text{ is divisible by } x_3 f_5 \longrightarrow F_3 = F_3 \cup \{x_3 f_5\}$$

...

$$F_3 = [x_2 f_1, x_1 f_5, x_2 f_5, x_1 f_6, x_2 f_6, x_3 f_5, x_3 f_6, f_5, f_6, x_3 f_1, f_1]$$

## F4: step by step

Example (3 quadratic equation in  $\mathbb{F}_{101}$ )

$x_2^3$  is divisible by  $x_2 f_6 \longrightarrow F_3 = F_3 \cup \{x_2 f_6\}$

$x_1 x_2 x_3$  is divisible by  $x_3 f_5 \longrightarrow F_3 = F_3 \cup \{x_3 f_5\}$

...

$F_3 = [x_2 f_1, x_1 f_5, x_2 f_5, x_1 f_6, x_2 f_6, x_3 f_5, x_3 f_6, f_5, f_6, x_3 f_1, f_1]$

$f_6$											1	28	19	79	30	50	
$f_5$										1	0	12	2	55	66	88	
.									1	66	25	4	41	54	42	87	
.				1	28	19	79	0	0	0	30	50	59	0	0		
.			1	0	12	2	55	0	0	0	66	88	60	0	0		
$A_3 = .$			1	66	25	4	41	54	0	0	0	42	87	22	0	0	
.			1	0	28	19	0	79	0	0	30	50	0	59	0	0	46
$x_2 f_5$		1	0	0	12	2	0	55	0	0	66	88	0	60	0	0	92
$f_{10}$		1	0	28	19	0	79	0	0	30	50	0	59	0	0	46	0
$x_2 f_1$	1	66	25	0	4	41	0	54	0	0	42	87	0	22	0	0	86
$f_8$	1	0	0	12	2	0	55	0	0	66	88	0	60	0	0	92	0

$$f_{10} = x_1 x_3^2 + 23 x_3^3 + 77 x_1 x_3 + 66 x_2 x_3 + 84 x_3^2 + 48 x_1 + 38 x_2 + 44 x_3 + 68$$

$$f_8 = x_2 x_3^2 + 98 x_3^3 + 60 x_1 x_3 + 34 x_2 x_3 + 85 x_3^2 + 65 x_1 + 9 x_2 + 74 x_3 + 28$$

## *F4: step by step*

### Example (Cyclic 4)

Monomial ordering is **DRL** and the **normal strategy**

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

## Example (Cyclic 4)

Monomial ordering is **DRL** and the **normal strategy**

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

**SYMBOLICPREPROCESSING**( $L_1, G, \emptyset$ ):

$$F_1 = \{f_3, b f_4\} \quad T(F_1) = \{\boxed{ab}, ad, b^2, bc, bd, cd\}$$

$\boxed{ab}$  is already done.



## Example (Cyclic 4)

Monomial ordering is DRL and the normal strategy

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

SYMBOLICPREPROCESSING( $L_1, G, \emptyset$ ):

$$F_1 = \{f_3, b f_4\} \quad T(F_1) = \{\boxed{ab}, \boxed{ad}, b^2, bc, bd, cd\}$$

## Example (Cyclic 4)

Monomial ordering is **DRL** and the **normal strategy**

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

**SYMBOLICPREPROCESSING**( $L_1, G, \emptyset$ ):

$$F_1 = \{f_3, b f_4\} \quad T(F_1) = \{\boxed{ab}, \boxed{ad}, b^2, bc, bd, cd\}$$

$ad$  is top reducible by  $f_4 \in G$ !

## Example (Cyclic 4)

Monomial ordering is DRL and the normal strategy

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

SYMBOLICPREPROCESSING( $L_1, G, \emptyset$ ):

$$F_1 = \{f_3, b f_4, d f_4\} \quad T(F_1) = \{\boxed{ab}, \boxed{ad}, b^2, bc, bd, cd, d^2\}$$

## Example (Cyclic 4)

Monomial ordering is DRL and the normal strategy

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

SYMBOLICPREPROCESSING( $L_1, G, \emptyset$ ):

$$F_1 = \{f_3, b f_4, d f_4\} \quad T(F_1) = \{\boxed{ab}, \boxed{ad}, \boxed{b^2}, bc, bd, cd, d^2\}$$

## Example (Cyclic 4)

Monomial ordering is **DRL** and the **normal strategy**

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

**SYMBOLICPREPROCESSING**( $L_1, G, \emptyset$ ):

$F_1 = \{f_3, b f_4, d f_4\}$      $T(F_1) = \{\boxed{ab}, \boxed{ad}, \boxed{b^2}, bc, bd, cd, d^2\}$   
 $b^2$  is not reducible by  $G$

## Example (Cyclic 4)

Monomial ordering is **DRL** and the **normal strategy**

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

**SYMBOLICPREPROCESSING**( $L_1, G, \emptyset$ ):

$$F_1 = \{f_3, b f_4, d f_4\} \quad T(F_1) = \{\boxed{ab}, \boxed{ad}, \boxed{b^2}, \boxed{bc}, \boxed{bd}, \boxed{cd}, \boxed{d^2}\}$$

## Example (Cyclic 4)

Monomial ordering is DRL and the normal strategy

$$F = \left[ \begin{array}{l} f_1 = abcd - 1, f_2 = abc + abd + acd + bcd, \\ f_3 = ab + bc + ad + cd, f_4 = a + b + c + d \end{array} \right]$$

At the beginning  $G = \{f_4\}$  and  $P_1 = \{\text{Pair}(f_3, f_4)\}$  such that  $L_1 = \{(1, f_3), (b, f_4)\}$ .

SYMBOLICPREPROCESSING  $(L_1, G, \emptyset)$  returns

$$F_1 = [f_3, bf_4, df_4].$$

## Example (Cyclic 4)

Matrix representation of  $F_1 = [f_3, bf_4, df_4]$  is:

$$A_1 = M(F_1) = \begin{array}{c} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ & & & 1 & 1 & 1 & 1 \\ 1 & & 1 & 1 & & 1 & \\ 1 & 1 & 1 & & 1 & & \end{array} \right|$$



## Example (Cyclic 4)

Gaussian reduction of  $A_1$  is:

$$\widetilde{A}_1 = \begin{array}{l} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ 1 & & 1 & 1 & 1 & 1 & 1 \\ & 1 & & & -1 & & -1 \\ & & 1 & & 2 & & 1 \end{array} \right|$$

## Example (Cyclic 4)

$$\widetilde{A}_1 = \begin{array}{l} df_4 \\ f_3 \\ bf_4 \end{array} \left| \begin{array}{ccccccc} ab & b^2 & bc & ad & bd & cd & d^2 \\ & & & 1 & 1 & 1 & 1 \\ 1 & & 1 & & -1 & & -1 \\ & 1 & & & 2 & & 1 \end{array} \right|$$

$$\widetilde{F}_1 = \left[ \begin{array}{l} f_5 = ad + bd + cd + d^2, \\ f_6 = ab + bc - bd - d^2, \\ f_7 = b^2 + 2bd + d^2 \end{array} \right]$$

### Example (Cyclic 4)

$$\tilde{F}_1 = [f_5 = ad + bd + cd + d^2,$$

$$f_6 = ab + bc - bd - d^2,$$

$$f_7 = b^2 + 2bd + d^2]$$

and since  $ab, ad \in \text{LT}(F_1)$  we have

$$\tilde{F}_{1+} = [f_7]$$

and now  $G = \{f_4, f_7\}$ .

### Example (Cyclic 4)

For the next step we have to consider  $P_2 = \{\text{Pair}(f_2, f_4)\}$   
hence  $L_2 = \{(1, f_2), (bc, f_4)\}$  and  $\mathcal{F} = \{F_1\}$ .

## Example (Cyclic 4)

$L_2 = \{(1, f_2), (bc, f_4)\}$  et  $\mathcal{F} = \{F_1\}$ .

In SYMBOLICPREPROCESSING we can try to simplify the products  $1 \cdot f_2$  and  $bc \cdot f_4$  using the previous computations:

For instance  $LT(bc f_4) = abc = LT(c f_6)$  and so instead of  $bc \cdot f_4$  we can consider  $c \cdot f_6$ .

### Example (Cyclic 4)

For the next step we have to consider  $P_2 = \{\text{Pair}(f_2, f_4)\}$   
hence  $L_2 = \{(1, f_2), (bc, f_4)\}$  and  $\mathcal{F} = \{F_1\}$ .

SYMBOLICPREPROCESSING

$$F_2 = \{f_2, c f_6\} \quad T(F_2) = \{\boxed{abc}, bc^2, abd, acd, bcd, cd^2\}$$

### Example (Cyclic 4)

For the next step we have to consider  $P_2 = \{\text{Pair}(f_2, f_4)\}$   
hence  $L_2 = \{(1, f_2), (bc, f_4)\}$  and  $\mathcal{F} = \{F_1\}$ .

SYMBOLICPREPROCESSING

$$F_2 = \{f_2, cf_6\} \quad T(F_2) = \{\boxed{abc}, bc^2, \boxed{abd}, acd, bcd, cd^2\}$$

## Example (Cyclic 4)

$$\tilde{F}_1 = [f_5 = ad + bd + cd + d^2, f_6 = ab + bc - bd - d^2, f_7 = b^2 + 2bd + d^2]$$

For the next step we have to consider  $P_2 = \{\text{Pair}(f_2, f_4)\}$

hence  $L_2 = \{(1, f_2), (bc, f_4)\}$  and  $\mathcal{F} = \{F_1\}$ .

SYMBOLICPREPROCESSING

$$F_2 = \{f_2, cf_6\} \quad T(F_2) = \{\boxed{abc}, bc^2, \boxed{abd}, acd, bcd, cd^2\}$$

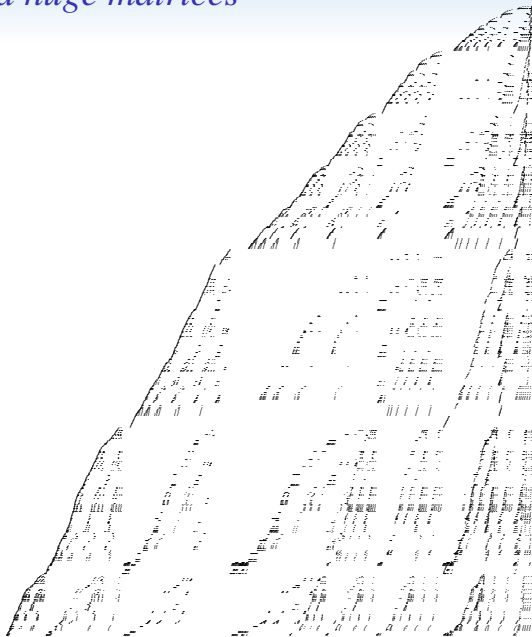
$abd$  is reducible by  $bd f_4$  but also by  $b f_5$  !



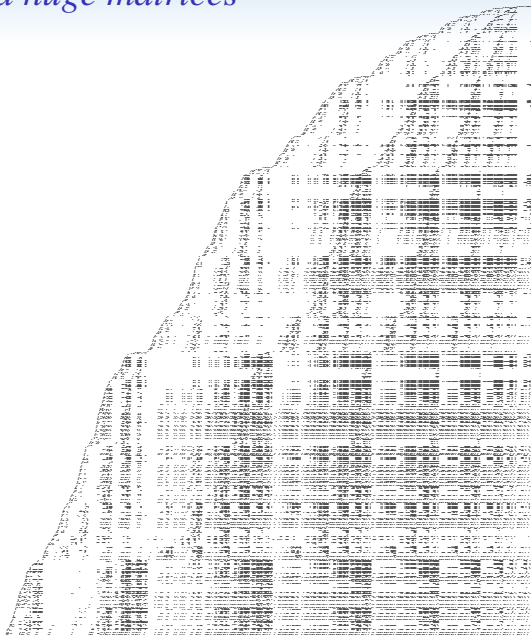
## *Optimisations*

- including Buchberger Criteria (or  $F_5$  criterion).
- reuse **all** the rows in the reduced matrices.
- Improve the **linear algebra** step (dedicated algorithms, matrix compression, ...)

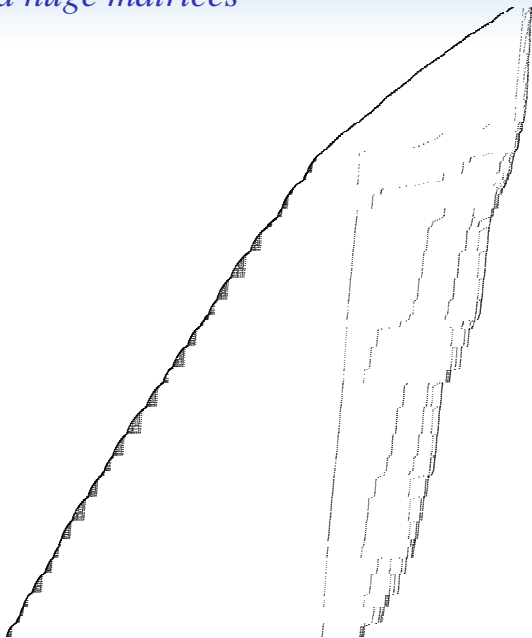
$F_4$  generated huge matrices



$F_4$  generated huge matrices



$F_4$  generated huge matrices



## *Need to compress the matrices !*

(i) **Compression bitmap**: denote by

$$j_1, j_2, j_3, \dots$$

the position of the non zero elements in the matrix, then

$$\sum_k 2^{j_k-1}$$

is the corresponding **bitmap**.

This efficient but **the reduction factor is not big** (constant factor).

## Compress the matrices

(ii) Another idea is to consider the differences (Lempel-Ziv coding):

$$j_1 \quad j_2 - j_1 \quad j_3 - j_2 \quad \dots$$

when the difference  $j_k - j_{k-1}$  is small ( $< 128$ ),  $\rightarrow$  we can use one byte to store the result.

This method is **more efficient wrt the memory usage** and only slightly slower (10%).

# Algorithms

**Algorithms:** for *computing* Gröbner bases.

- **Buchberger** (1965,1979,1985)
  - ☞ First and Second Criteria
- $F_4$  using **linear algebra** (1999) (strategies)
- $F_5$  **no reduction to zero** (2002)
  - Today  $\longrightarrow$  simple matrix  $F_5$  algorithm
- **Signature-based** Gröbner computations (2008-...)

## $F_5$ algorithm

- Goal: avoid **useless reduction** to 0  
generate **full rank** matrices
- **Incremental** algorithm

$$(f_1) + G_{\text{prev}}$$

- We have to explain: new  $F_5$  criterion



## $F_5$ an example I

We consider the following example: ( $b$  is a parameter):

$$\mathcal{S}_b \begin{cases} f_3 = x^2 + 18xy + 19y^2 + 8xz + 5yz + 7z^2 \\ f_2 = 3x^2 + (7 + b)xy + 22xz + 11yz + 22z^2 + 8y^2 \\ f_1 = 6x^2 + 12xy + 4y^2 + 14xz + 9yz + 7z^2 \end{cases}$$

For now we assume that  $b = 0$

With Buchberger  $x > y > z$ :

- 5 useless reductions
- 5 useful pairs

## $F_5$ an example II

We proceed degree by degree.

$$A_2 = \begin{array}{c} f_3 \\ f_2 \\ f_1 \end{array} \left| \begin{array}{cccccc} x^2 & x y & y^2 & x z & y z & z^2 \\ 1 & 18 & 19 & 8 & 5 & 7 \\ 3 & 7 & 8 & 22 & 11 & 22 \\ 6 & 12 & 4 & 14 & 9 & 7 \end{array} \right|$$

$$\widetilde{A}_2 = \begin{array}{c} f_3 \\ f_2 \\ f_1 \end{array} \left| \begin{array}{cccccc} x^2 & x y & y^2 & x z & y z & z^2 \\ 1 & 18 & 19 & 8 & 5 & 7 \\ & 1 & 3 & 2 & 4 & -1 \\ & & 1 & -11 & -3 & -5 \end{array} \right|$$

“new” polynomials  $f_4 = xy + 4yz + 2xz + 3y^2 - z^2$  and  
 $f_5 = y^2 - 11xz - 3yz - 5z^2$

## $F_5$ an example III

$$f_3 = x^2 + 18xy + 19y^2 + 8xz + 5yz + 7z^2$$

$$f_2 = 3x^2 + 7xy + 22xz + 11yz + 22z^2 + 8y^2$$

$$f_1 = 6x^2 + 12xy + 4y^2 + 14xz + 9yz + 7z^2$$

$$f_4 = xy + 4yz + 2xz + 3y^2 - z^2$$

$$f_5 = y^2 - 11xz - 3yz - 5z^2$$

$$f_2 \longrightarrow f_4$$

$$f_1 \longrightarrow f_5$$

## Degree 3 (first try)

$$f_3 = x^2 + 18xy + 19y^2 + 8xz + 5yz + 7z^2$$

$$f_2 = 3x^2 + 7xy + 22xz + 11yz + 22z^2 + 8y^2$$

$$f_1 = 6x^2 + 12xy + 4y^2 + 14xz + 9yz + 7z^2$$

$$f_4 = xy + 4yz + 2xz + 3y^2 - z^2$$

$$f_5 = y^2 - 11xz - 3yz - 5z^2$$

and

$$f_2 \longrightarrow f_4$$

$$f_1 \longrightarrow f_5$$

## Degree 3 (first try)

$$A_3 := \begin{matrix} & x^3 & x^2y & xy^2 & y^3 & x^2z & \dots \\ zf_3 & 0 & 0 & 0 & 0 & 1 & \dots \\ yf_3 & 0 & 1 & 18 & 19 & 0 & \dots \\ xf_3 & 1 & 18 & 19 & 0 & 8 & \dots \\ zf_2 & 0 & 0 & 0 & 0 & 3 & \dots \\ yf_2 & 0 & 3 & 7 & 8 & 0 & \dots \\ xf_2 & 3 & 7 & 8 & 0 & 22 & \dots \\ zf_1 & 0 & 0 & 0 & 0 & 6 & \dots \\ yf_1 & 0 & 6 & 12 & 4 & 0 & \dots \\ xf_1 & 6 & 12 & 4 & 0 & 14 & \dots \end{matrix}$$

## Degree 3 (first try)

$$A_3 := \begin{matrix} & x^3 & x^2y & xy^2 & y^3 & x^2z & \dots \\ zf_3 & 0 & 0 & 0 & 0 & 1 & \dots \\ yf_3 & 0 & 1 & 18 & 19 & 0 & \dots \\ xf_3 & 1 & 18 & 19 & 0 & 8 & \dots \\ zf_2 & 0 & 0 & 0 & 0 & 3 & \dots \\ yf_2 & 0 & 3 & 7 & 8 & 0 & \dots \\ xf_2 & 3 & 7 & 8 & 0 & 22 & \dots \\ zf_1 & 0 & 0 & 0 & 0 & 6 & \dots \\ yf_1 & 0 & 6 & 12 & 4 & 0 & \dots \\ xf_1 & 6 & 12 & 4 & 0 & 14 & \dots \end{matrix}$$

## Degree 3 (first try)

$$A_3 := \begin{matrix} & x^3 & x^2y & xy^2 & y^3 & x^2z & \dots \\ zf_3 & 0 & 0 & 0 & 0 & \textcircled{1} & \dots \\ yf_3 & 0 & 1 & 18 & 19 & 0 & \dots \\ xf_3 & 1 & 18 & 19 & 0 & 8 & \dots \\ zf_2 & 0 & 0 & 0 & 0 & \textcircled{3} & \dots \\ yf_2 & 0 & 3 & 7 & 8 & 0 & \dots \\ xf_2 & 3 & 7 & 8 & 0 & 22 & \dots \\ zf_1 & 0 & 0 & 0 & 0 & 6 & \dots \\ yf_1 & 0 & 6 & 12 & 4 & 0 & \dots \\ xf_1 & 6 & 12 & 4 & 0 & 14 & \dots \end{matrix}$$

## Degree 3 (first try)

$$A_3 := \begin{matrix} & x^3 & x^2y & xy^2 & y^3 & x^2z & \dots \\ \begin{matrix} zf_3 \\ yf_3 \\ xf_3 \\ zf_2 \\ yf_2 \\ xf_2 \\ zf_1 \\ yf_1 \\ xf_1 \end{matrix} & \begin{pmatrix} 0 & 0 & 0 & 0 & \textcircled{1} & \dots \\ 0 & 1 & 18 & 19 & 0 & \dots \\ 1 & 18 & 19 & 0 & 8 & \dots \\ 0 & 0 & 0 & 0 & \textcircled{3} & \dots \\ 0 & 3 & 7 & 8 & 0 & \dots \\ 3 & 7 & 8 & 0 & 22 & \dots \\ 0 & 0 & 0 & 0 & 6 & \dots \\ 0 & 6 & 12 & 4 & 0 & \dots \\ 6 & 12 & 4 & 0 & 14 & \dots \end{pmatrix} \end{matrix}$$



## Degree 3 (first try)

$$A_3 := \begin{array}{l} zf_3 \\ yf_3 \\ xf_3 \\ zf_2 \\ yf_2 \\ xf_2 \\ zf_1 \\ yf_1 \\ xf_1 \end{array} \begin{pmatrix} x^3 & x^2y & xy^2 & y^3 & x^2z & \dots \\ 0 & 0 & 0 & 0 & \textcircled{1} & \dots \\ 0 & 1 & 18 & 19 & 0 & \dots \\ 1 & 18 & 19 & 0 & 8 & \dots \\ 0 & 0 & 0 & 0 & \textcircled{3} & \dots \\ 0 & 3 & 7 & 8 & 0 & \dots \\ 3 & 7 & 8 & 0 & 22 & \dots \\ 0 & 0 & 0 & 0 & \textcircled{6} & \dots \\ 0 & 6 & 12 & 4 & 0 & \dots \\ 6 & 12 & 4 & 0 & 14 & \dots \end{pmatrix}$$

## Degree 3 (first try)

$$A_3 := \begin{matrix} & x^3 & x^2y & xy^2 & y^3 & x^2z & \dots \\ \begin{matrix} zf_3 \\ yf_3 \\ xf_3 \\ zf_2 \\ yf_2 \\ xf_2 \\ zf_1 \\ yf_1 \\ xf_1 \end{matrix} & \begin{pmatrix} 0 & 0 & 0 & 0 & \textcircled{1} & \dots \\ 0 & 1 & 18 & 19 & 0 & \dots \\ 1 & 18 & 19 & 0 & 8 & \dots \\ 0 & 0 & 0 & 0 & \textcircled{3} & \dots \\ 0 & 3 & 7 & 8 & 0 & \dots \\ 3 & 7 & 8 & 0 & 22 & \dots \\ 0 & 0 & 0 & 0 & \textcircled{6} & \dots \\ 0 & 6 & 12 & 4 & 0 & \dots \\ 6 & 12 & 4 & 0 & 14 & \dots \end{pmatrix} \end{matrix}$$

## Degree 3 (first try)

Already

Done !

$$f_2 \longrightarrow f_4$$

$$f_1 \longrightarrow f_5$$

$$A_3 := \begin{matrix} & \begin{matrix} x^3 & x^2y & xy^2 & y^3 & x^2z & \dots \end{matrix} \\ \begin{matrix} zf_3 \\ yf_3 \\ xf_3 \\ zf_2 \\ yf_2 \\ xf_2 \\ zf_1 \\ yf_1 \\ xf_1 \end{matrix} & \begin{pmatrix} 0 & 0 & 0 & 0 & \textcircled{1} & \dots \\ 0 & 1 & 18 & 19 & 0 & \dots \\ 1 & 18 & 19 & 0 & 8 & \dots \\ 0 & 0 & 0 & 0 & \textcircled{3} & \dots \\ 0 & 3 & 7 & 8 & 0 & \dots \\ 3 & 7 & 8 & 0 & 22 & \dots \\ 0 & 0 & 0 & 0 & \textcircled{6} & \dots \\ 0 & 6 & 12 & 4 & 0 & \dots \\ 6 & 12 & 4 & 0 & 14 & \dots \end{pmatrix} \end{matrix}$$

## Degree 3

$$A_3 := \begin{matrix} & x^3 & x^2y & xy^2 & y^3 & x^2z & xyz & y^2z & xz^2 & yz^2 & z^3 \\ \begin{matrix} zf_3 \\ yf_3 \\ xf_3 \\ zf_4 \\ yf_4 \\ xf_4 \\ zf_5 \\ yf_5 \\ xf_5 \end{matrix} & \left( \begin{array}{cccccccccc} & & & & 1 & 18 & 19 & 8 & 5 & 7 \\ & 1 & 18 & 19 & 0 & 8 & 5 & 0 & 7 & 0 \\ 1 & 18 & 19 & 0 & 8 & 5 & 0 & 7 & 0 & 0 \\ & & & & & 1 & 3 & 2 & 4 & 22 \\ & & 1 & 3 & 0 & 2 & 4 & 0 & 22 & 0 \\ & 1 & 3 & 0 & 2 & 4 & 0 & 22 & 0 & 0 \\ & & & & & & 1 & 12 & 20 & 18 \\ & & & 1 & 0 & 12 & 20 & 0 & 18 & 0 \\ & & 1 & 0 & 12 & 20 & 0 & 18 & 0 & 0 \end{array} \right) \end{matrix}$$

## Degree 3

$$\tilde{A}_3 := \begin{matrix} & x^3 & x^2y & xy^2 & y^3 & x^2z & xyz & y^2z & xz^2 & yz^2 & z^3 \\ xf_3 & 1 & 18 & 19 & 0 & 8 & 5 & 0 & 7 & 0 & 0 \\ yf_3 & & 1 & 18 & 19 & 0 & 8 & 5 & 0 & 7 & 0 \\ yf_2 & & & 1 & 3 & 0 & 2 & 4 & 0 & 22 & 0 \\ xf_2 & & & & 1 & 0 & 0 & 8 & 1 & 18 & 15 \\ zf_3 & & & & & 1 & 18 & 19 & 8 & 5 & 7 \\ zf_2 & & & & & & 1 & 3 & 2 & 4 & 22 \\ zf_1 & & & & & & & 1 & 12 & 20 & 18 \\ yf_1 & & & & & & & & 1 & 11 & 13 \\ xf_1 & & & & & & & & & 1 & 18 \end{matrix}$$

## Degree 3

Summary: we have constructed 3 new polynomials

$$f_6 = y^3 + 8y^2z + xz^2 + 18yz^2 + 15z^3$$

$$f_7 = xz^2 + 11yz^2 + 13z^3$$

$$f_8 = yz^2 + 18z^3$$

And we have the linear equivalences:

$$x f_2 \leftrightarrow x f_4 \leftrightarrow f_6$$

$$f_4 \longrightarrow f_2$$

## Degree 4

The matrix whose rows are

$$x^2 f_i, x y f_i, y^2 f_i, x z f_i, y z f_i, z^2 f_i, \quad i = 1, 2, 3$$

is not full rank !

## Why ? (1)

$6 \times 3 = 18$  rows

$x^4, x^3 y, \dots, y z^3, z^4$  15 columns



## Why ? (1)

$$6 \times 3 = \boxed{18 \text{ rows}}$$

$$x^4, x^3 y, \dots, y z^3, z^4 \quad \boxed{15 \text{ columns}}$$

Simple linear algebra theorem: 3 useless row (but which ones ?)

## Trivial relations

$$f_2 f_3 - f_3 f_2 = 0$$

can be rewritten

$$\begin{aligned} & 3x^2 f_3 + (7 + b)xy f_3 + 8y^2 f_3 + 22xz f_3 \\ & + 11yz f_3 + 22z^2 f_3 - \boxed{x^2 f_2} - 18xy f_2 - 19y^2 f_2 \\ & - 8xz f_2 - 5yz f_2 - 7z^2 f_2 = 0 \end{aligned}$$

**We can remove the row  $x^2 f_2$**

same way  $f_1 f_3 - f_3 f_1 = 0 \longrightarrow$  remove  $x^2 f_1$

but  $f_1 f_2 - f_2 f_1 = 0 \longrightarrow$  remove  $x^2 f_1$  ! ???

## Combining trivial relations

$$0 = (f_2 f_1 - f_1 f_2) - 3(f_3 f_1 - f_1 f_3)$$

$$0 = (f_2 - 3f_3)f_1 - f_1 f_2 + 3f_1 f_3$$

$$0 = f_4 f_1 - f_1 f_2 + 3f_1 f_3$$

$$0 = \left( (1 - b)xy + 4yz + 2xz + 3y^2 - z^2 \right) f_1 \\ - (6x^2 + \dots)f_2 + 3(6x^2 + \dots)f_3$$

- if  $b \neq 1$  remove  $x y f_1$
- if  $b = 1$  remove  $y z f_1$

Need "some" computation

## Degree 4 I

$$y^2 f_1, x z f_1, y z f_1, z^2 f_1, x y f_2, y^2 f_2, x z f_2, \\ y z f_2, z^2 f_2, x^2 f_3, x y f_3, y^2 f_3, x z f_3, y z f_3, z^2 f_3$$

In order to use previous computations (degree 2 and 3):

$$x f_2 \rightarrow f_6 \quad f_2 \rightarrow f_4 \\ x f_1 \rightarrow f_8 \quad y f_1 \rightarrow f_7 \\ f_1 \rightarrow f_5$$

$$y f_7, z f_8, z f_7, z^2 f_5, y f_6, y^2 f_4, z f_6, y z f_4, \\ z^2 f_4, x^2 f_3, x y f_3, y^2 f_3, x z f_3, y z f_3, z^2 f_3,$$



# Degree 4 III

$$A_4 := \left[ \begin{array}{cccccccc|ccccc} 1 & 18 & 19 & 0 & 0 & 8 & 5 & 0 & 0 & 7 & 0 & 0 & 0 & 0 & 0 \\ & 1 & 18 & 19 & 0 & 0 & 8 & 5 & 0 & 0 & 7 & 0 & 0 & 0 & 0 \\ & & 1 & 18 & 19 & 0 & 0 & 8 & 5 & 0 & 0 & 7 & 0 & 0 & 0 \\ & & & 1 & 3 & 0 & 0 & 2 & 4 & 0 & 0 & 22 & 0 & 0 & 0 \\ & & & & 1 & 0 & 0 & 0 & 8 & 0 & 1 & 18 & 0 & 15 & 0 \\ & & & & & 1 & 18 & 19 & 0 & 8 & 5 & 0 & 7 & 0 & 0 \\ & & & & & & 1 & 18 & 19 & 0 & 8 & 5 & 0 & 7 & 0 \\ & & & & & & & 1 & 3 & 0 & 2 & 4 & 0 & 22 & 0 \\ & & & & & & & & 1 & 0 & 0 & 8 & 1 & 18 & 15 \\ & & & & & & & & & 1 & 18 & 19 & 8 & 5 & 7 \\ & & & & & & & & & & 1 & 11 & 0 & 13 & 0 \\ & & & & & & & & & & & 1 & 12 & 20 & 18 \\ & & & & & & & & & & & & 1 & 11 & 13 \\ & & & & & & & & & & & & & 1 & 18 \\ & & & & & & & & & & & & & & 1 \\ & & & & & & & & & & & & & & & 1 & 3 & 2 & 4 & 22 \end{array} \right]$$

## Degree 4 IV

We need to consider only a **small sub-matrix**:

$$A'_4 := \begin{matrix} & & xyz^2 & y^2z^2 & xz^3 & yz^3 & z^4 \\ yf_7 & \left( & 1 & 11 & 0 & 13 & 0 \right) \\ z^2f_5 & & & 1 & 12 & 20 & 18 \\ zf_7 & & & & 1 & 11 & 13 \\ zf_8 & & & & & 1 & 18 \\ z^2f_4 & \left( & 1 & 3 & 2 & 4 & 22 \right) \end{matrix}$$

## *F5 Criterion : analysis*

Example: compute a Gröbner basis of  $[f_1, f_2, f_3]$

Any combination of the trivial relations  $f_i f_j = f_j f_i$  can always be written:

$$u(f_2 f_3 - f_3 f_2) + v(f_1 f_3 - f_3 f_1) + w(f_2 f_1 - f_1 f_2) = 0$$



## F5 Criterion : analysis

Example: compute a Gröbner basis of  $[f_1, f_2, f_3]$

Any combination of the trivial relations  $f_i f_j = f_j f_i$  can always be written:

$$u(f_2 f_3 - f_3 f_2) + v(f_1 f_3 - f_3 f_1) + w(f_2 f_1 - f_1 f_2) = 0$$

where  $u, v, w$  are arbitrary polynomials.

$$(w f_2 - v f_3) f_1 + u f_2 f_3 - u f_3 f_2 + v f_1 f_3 - w f_1 f_2 = 0$$

$$(w f_2 - v f_3) f_1 \longrightarrow 0$$

## F5 Criterion : analysis

Example: compute a Gröbner basis of  $[f_1, f_2, f_3]$

Any combination of the trivial relations  $f_i f_j = f_j f_i$  can always be written:

$$u(f_2 f_3 - f_3 f_2) + v(f_1 f_3 - f_3 f_1) + w(f_2 f_1 - f_1 f_2) = 0$$

where  $u, v, w$  are arbitrary polynomials.

$$(w f_2 - v f_3) f_1 + u f_2 f_3 - u f_3 f_2 + v f_1 f_3 - w f_1 f_2 = 0$$

$$(w f_2 - v f_3) f_1 \longrightarrow 0$$

(trivial) relation  $h f_1 + \dots = 0 \leftrightarrow h \in \text{Id}(f_2, f_3)$

## F5 Criterion : analysis

Example: compute a Gröbner basis of  $[f_1, f_2, f_3]$

Any combination of the trivial relations  $f_i f_j = f_j f_i$  can always be written:

$$u(f_2 f_3 - f_3 f_2) + v(f_1 f_3 - f_3 f_1) + w(f_2 f_1 - f_1 f_2) = 0$$

where  $u, v, w$  are arbitrary polynomials.

$$(w f_2 - v f_3) f_1 + u f_2 f_3 - u f_3 f_2 + v f_1 f_3 - w f_1 f_2 = 0$$

$$(w f_2 - v f_3) f_1 \rightarrow 0$$

(trivial) relation  $h f_1 + \dots = 0 \leftrightarrow h \in \text{Id}(f_2, f_3)$

**F5 Criterion:** compute a Gröbner basis  $G'$  of  $\text{Id}(f_2, f_3)$ .

Remove row  $t f_1$  iff  $t$  reducible by  $\text{LT}(G')$

Keep row  $t f_1$  iff  $t$  not reducible by  $\text{LT}(G')$

## Références I



B. Buchberger.

An algorithm for finding the basis elements in the residue class ring modulo a zero dimensional polynomial ideal.

*Journal of Symbolic Computation*, 41(3-4):475–511, 3 2006.



Buchberger B.

*Ein Algorithmus zum Auffinden der Basiselemente des Restklassenringes nach einem nulldimensionalen Polynomideal.*

PhD thesis, Innsbruck, 1965.



Buchberger B.

An Algorithmical Criterion for the Solvability of Algebraic Systems.

*Aequationes Mathematicae*, 4(3):374–383, 1970.

(German).



Cox D., Little J., and O'Shea D.

*Ideals, Varieties and Algorithms.*

Springer Verlag, New York, 1992.

## Références II



Joachim von zur Gathen and Jürgen Gerhard .  
*Modern Computer Algebra.*  
Cambridge Press, 1999.